

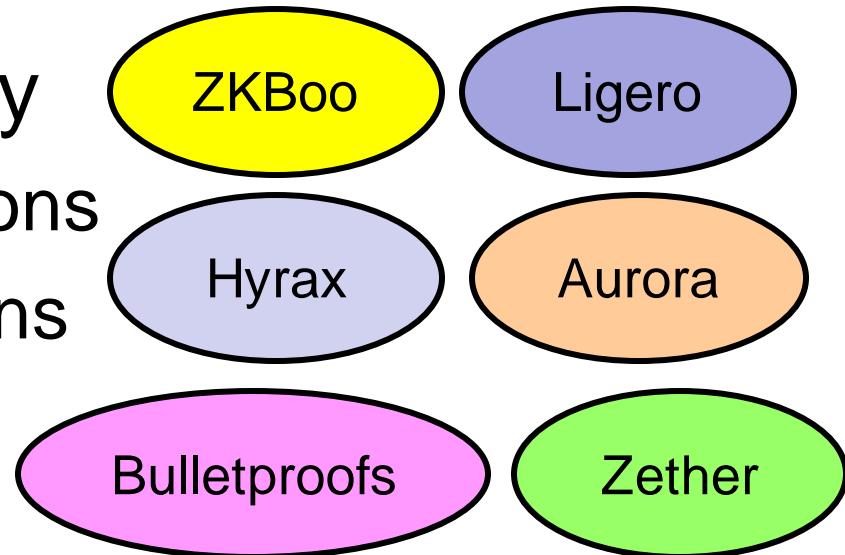
# Compilers for Zero-Knowledge: An Overview

Yuval Ishai  
Technion



# Broad Motivation

- ZK research is a big party
  - Many motivating applications
  - Many challenging questions
  - Many exciting results
- Big party → **Big mess ?**
- This talk: advocating a **modular approach**
  - Separate “**information-theoretic**” and “**crypto**” parts
  - General **cryptographic compilers** (IT → crypto)
  - General **information-theoretic compilers** (IT → IT)



NP relation  $R(x,w)$

Boolean circuit  
Arithmetic circuit  
RAM  
QSP, QAP, SSP  
R1CS  
TinyRAM

Convenient Representation

Computational model

Different kinds  
(coming up)

Information-Theoretic Proof System

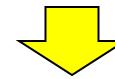
“ZK-PCP”

Crypto assumptions /  
Generic models

crypto compiler

ZK Proof/Argument

NP relation  $R(x,w)$

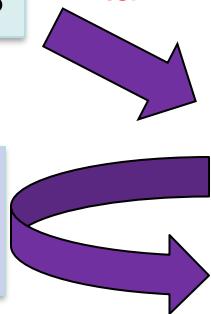


Representation

Computational model

MPC  
protocols

Carmit's  
talk



Information-Theoretic Proof System

“ZK-PCP”

crypto compiler

ZK Proof/Argument

# Why?

- **Simplicity**
  - Break complex tasks into simpler components
  - Easier to analyze and optimize
  - Potential for proving lower bounds
- **Generality**
  - Apply same constructions in different settings
  - Research deduplication, less papers to read/write
- **Efficiency**
  - Port efficiency improvements between settings
  - Mix & match different components
  - Systematic exploration of design space

# ZK Zoo

(ignoring assumptions for now...)

## Qualitative features

- Interactive?
- Succinct?
- Fast verification?
- Public verification?
- Public input?
- NP vs. P?
- Trusted setup?
- Symmetric crypto only?
- Post quantum?

## Quantitative features

- Communication
- Prover complexity
- Verifier complexity

Major commercialization efforts

Standardization process

[zkproof.org](http://zkproof.org)

2<sup>nd</sup> workshop: April 10-12

Optimal ZKP protocol?

# Food for thought...

- Which verifier is better?
  - $V1$ : SHA256 hash
  - $V2$ : PKE decryption
- $V2$  can be more obfuscation-friendly! [BISW17]
  - Relevant complexity measure: branching program size
  - Motivated “lattice-based” designated-verifier SNARKs
  - Promising avenue for practical general-purpose obfuscation
- Similar: MPC-friendly prover, etc.

Back to 20<sup>th</sup> Century

**Theorem** [GMW86]:  
Bit-commitment  $\rightarrow$  ZKP for all of NP

**Theorem** [GMW86+Naor89+HILL99]:  
One-way function  $\rightarrow$  ZKP for all of NP

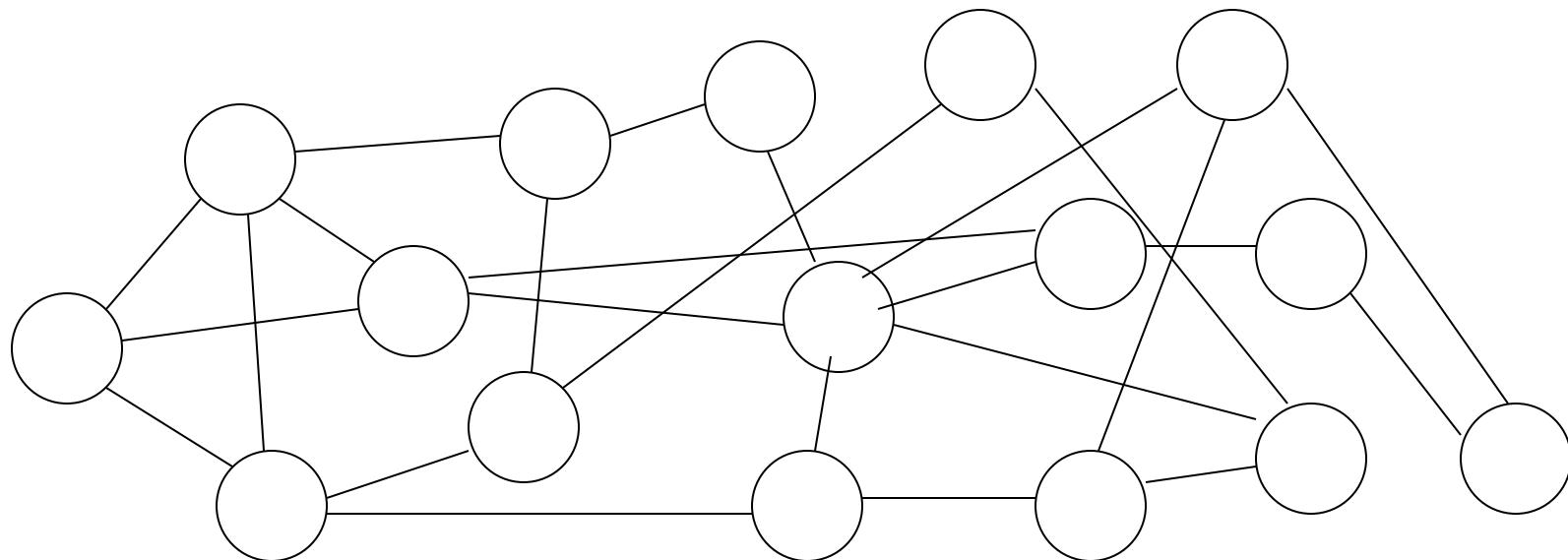
**Theorem** [OW93]:  
ZKP for “hard on average” L in NP  $\rightarrow$  i.o. one-way function

Are we done?

# ZKP for 3-Colorability

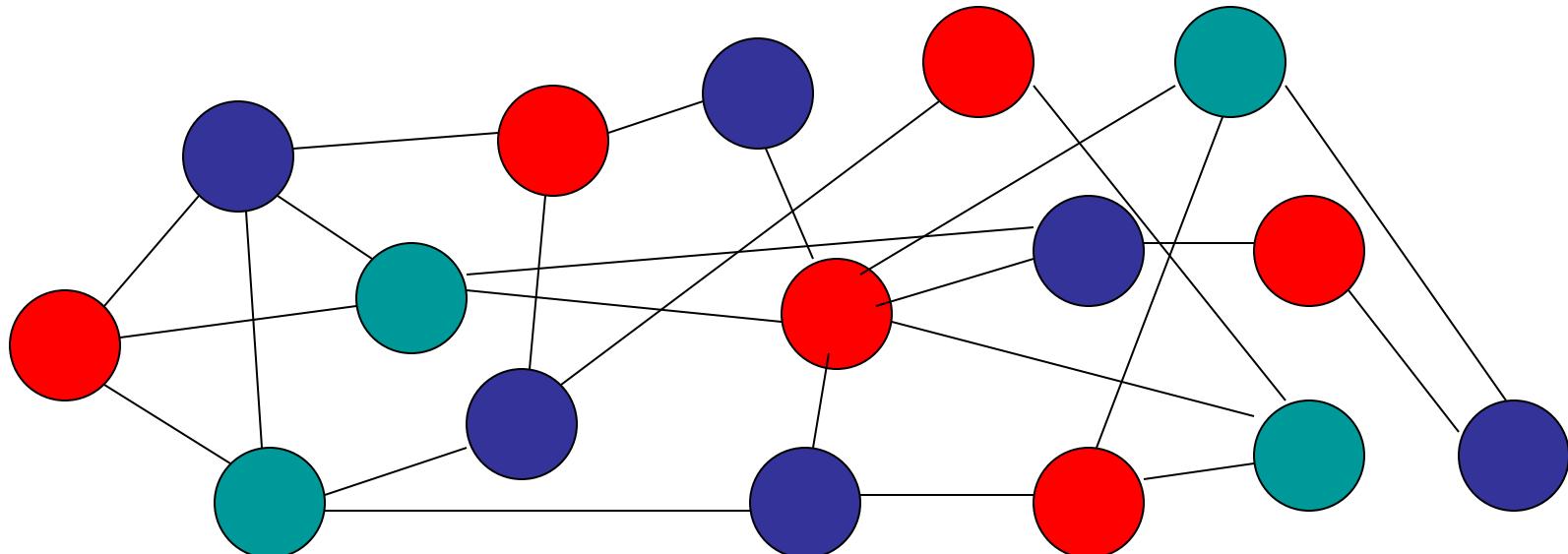
[GMW86]

- Prover wants to prove that a given graph is 3-colorable



# ZKP for 3-Colorability

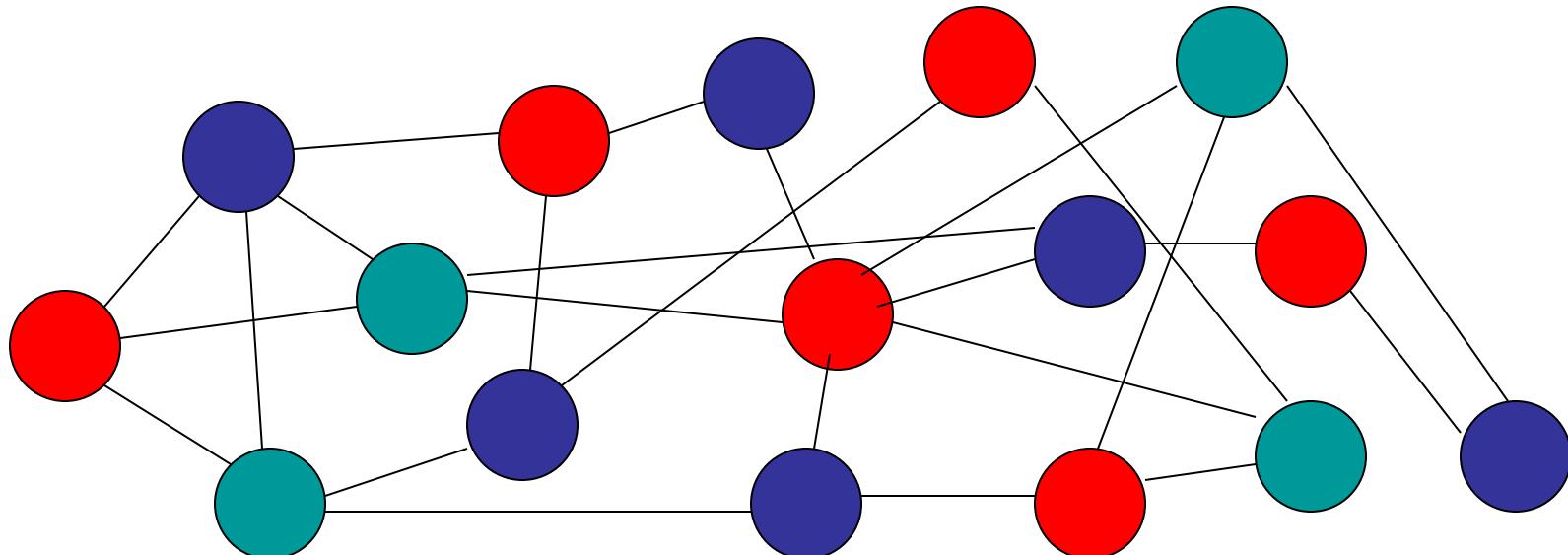
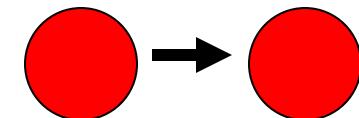
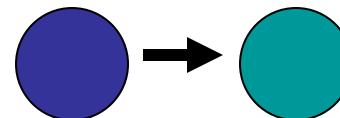
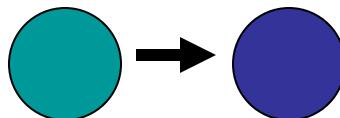
- Prover wants to prove that a given graph is 3-colorable
  - $x = \text{graph}$   $w = \text{coloring}$



# ZKP for 3-Colorability

- Prover randomly permutes the 3 colors (6 possibilities)

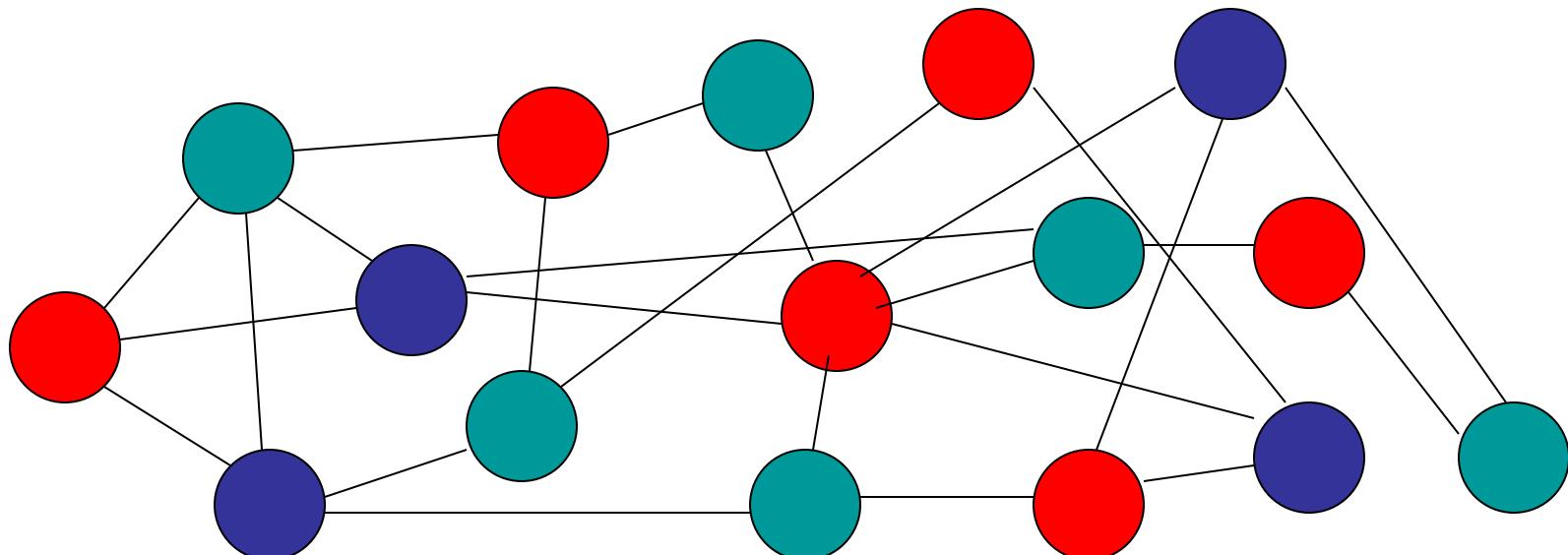
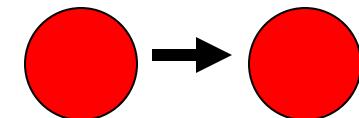
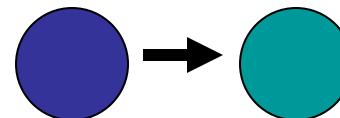
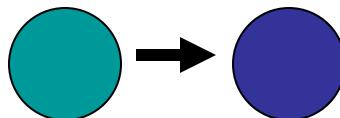
– Say,



# ZKP for 3-Colorability

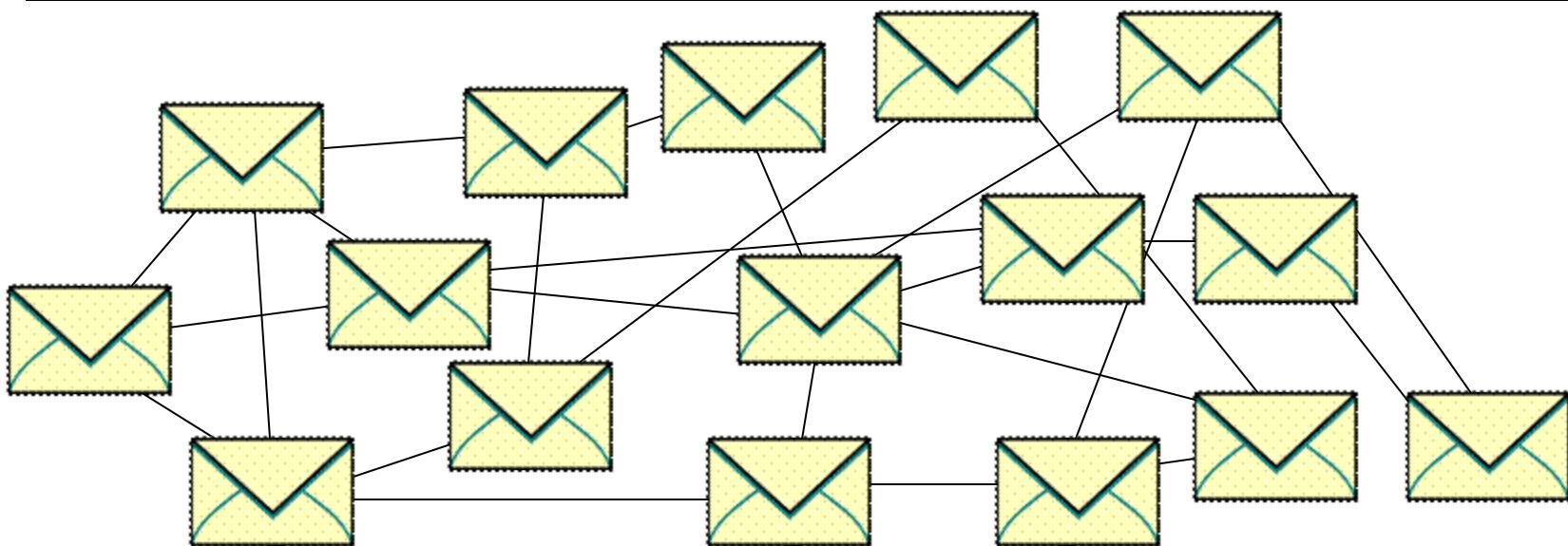
- Prover randomly permutes the 3 colors (6 possibilities)

– Say,



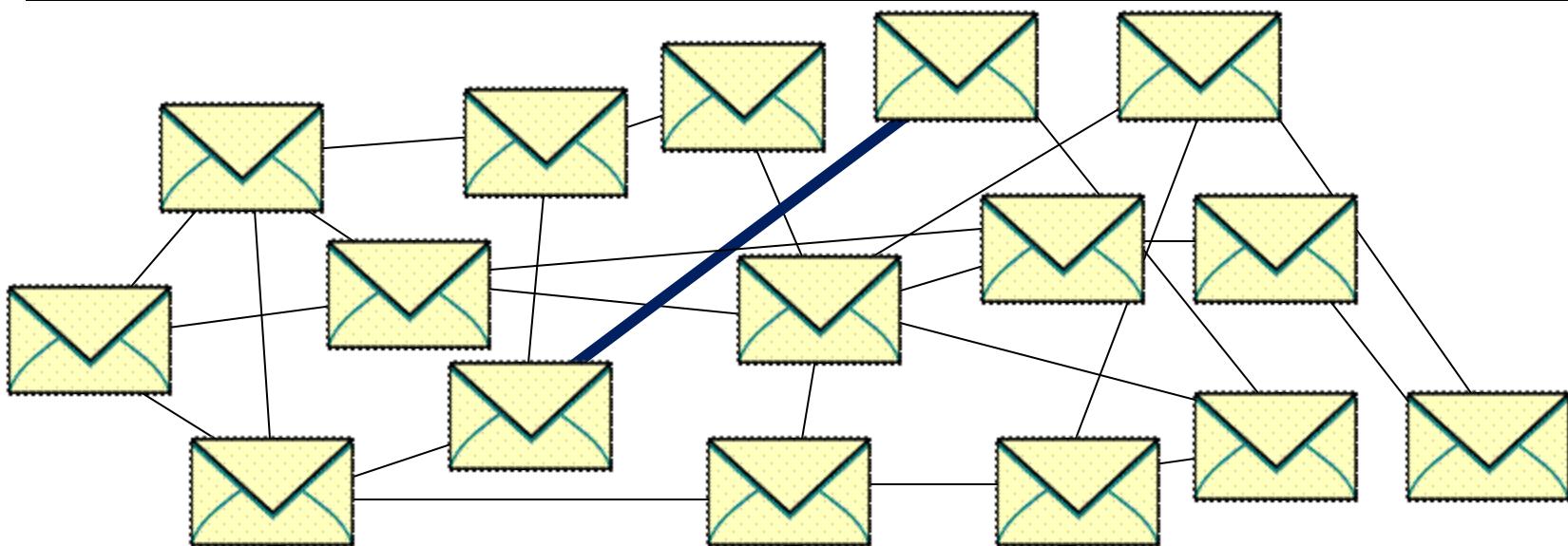
# ZKP for 3-Colorability

- Prover separately commits to color of each node and sends commitments to Verifier



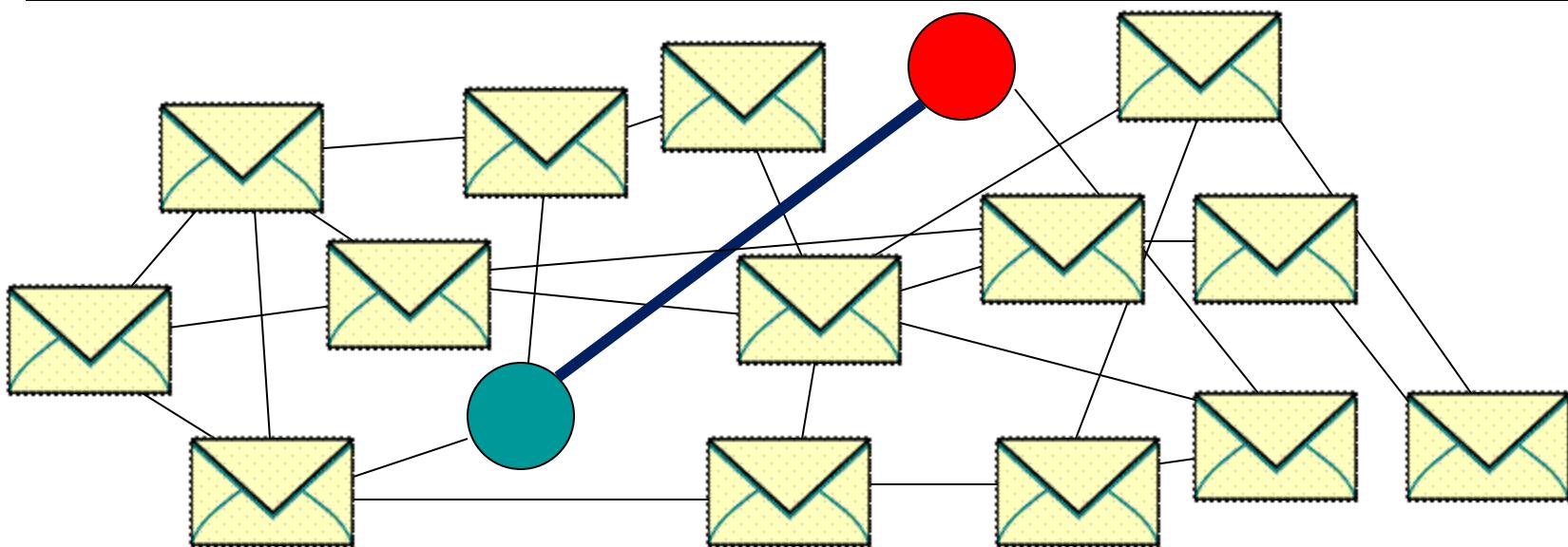
# ZKP for 3-Colorability

- Verifier challenges Prover by selecting a random edge



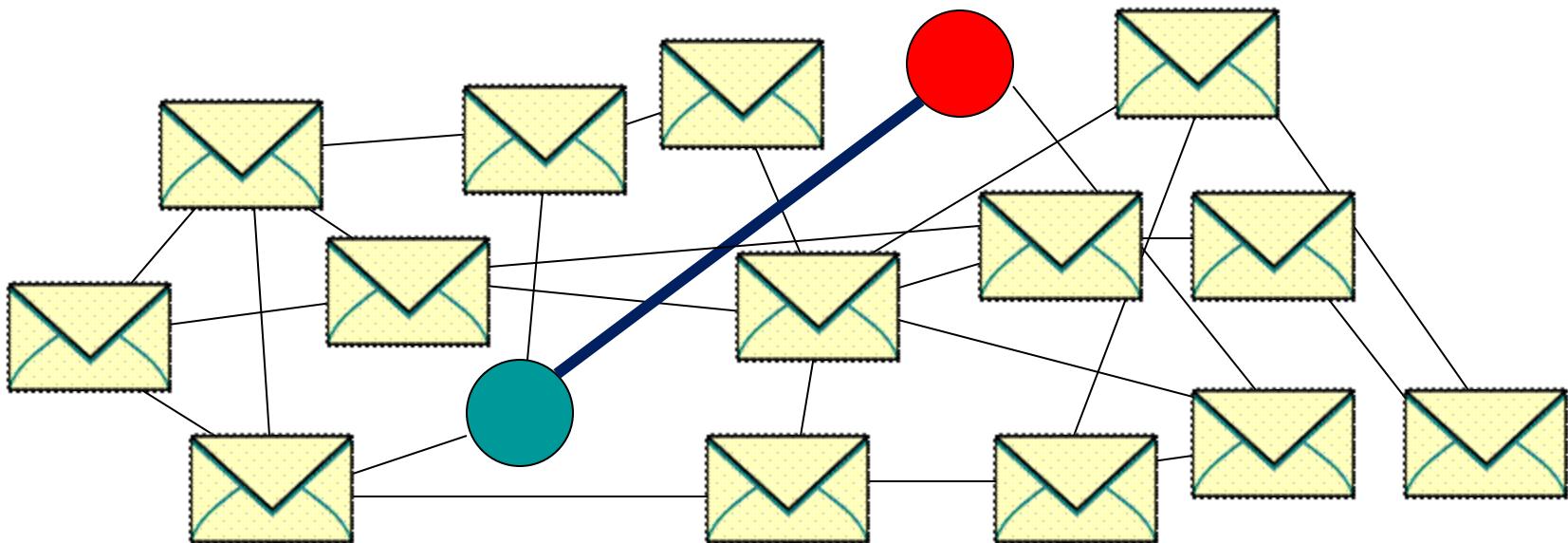
# ZKP for 3-Colorability

- Prover sends decommitments for opening the colors of the two nodes



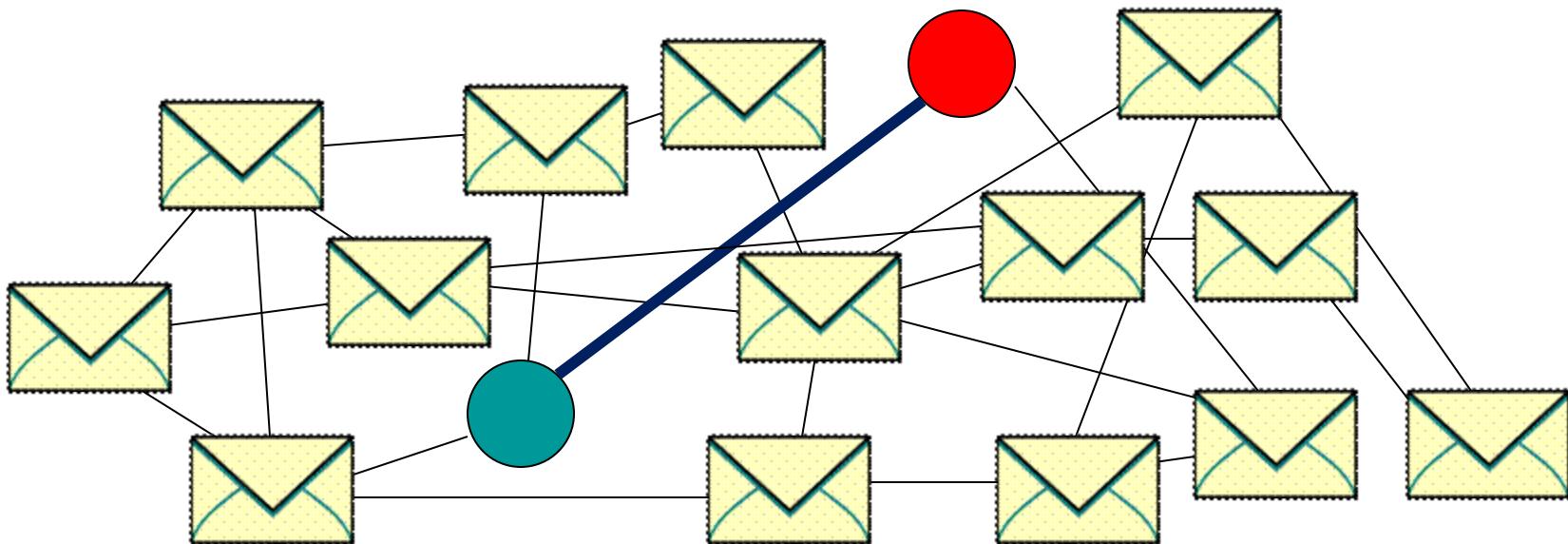
# ZKP for 3-Colorability

- Verifier accepts if both colors are valid and are distinct (otherwise it rejects).
- Repeat  $O(|E|)$  times to amplify soundness

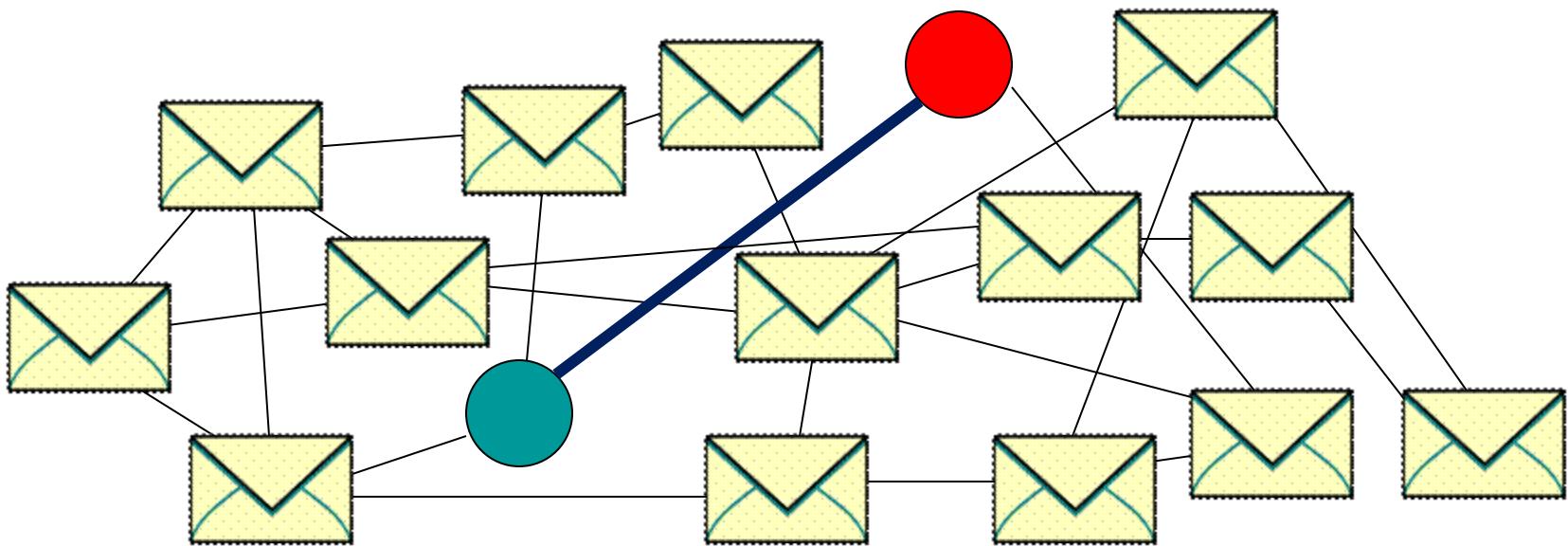


# Issues

- Security proof more subtle than it may seem
  - Need to redo analysis of Hamiltonicity-based ZK?
- Two sources of inefficiency
  - Karp reduction
  - Soundness amplification (+ many rounds)



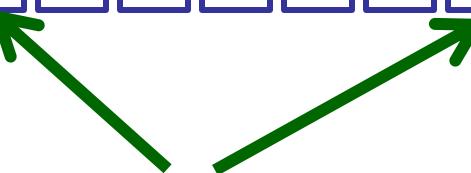
# Abstraction to the rescue...



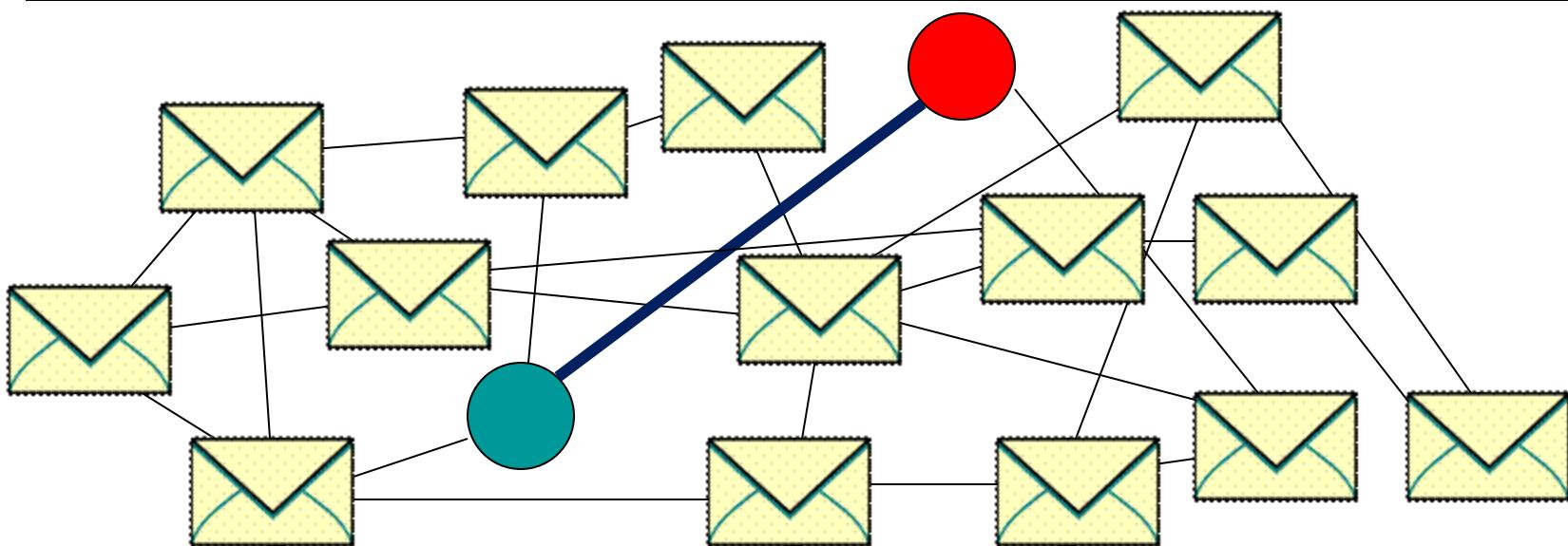
# Information-Theoretic Proof System: **ZK-PCP**

Prover:  $(x, w) \rightarrow \pi$

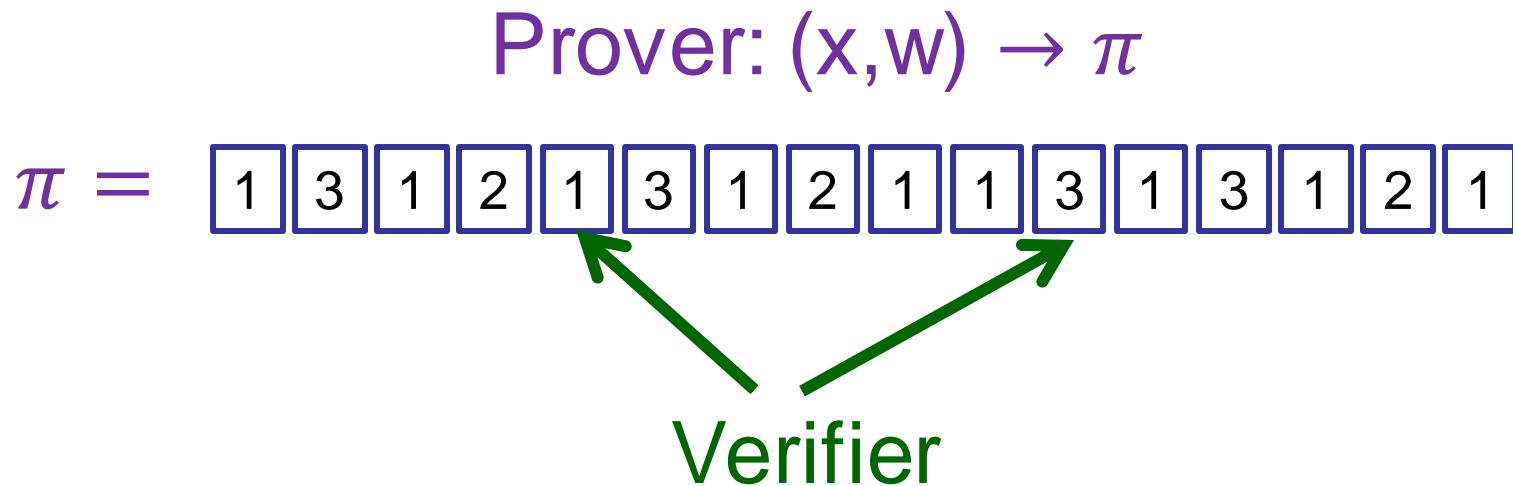
$\pi = \boxed{1} \boxed{3} \boxed{1} \boxed{2} \boxed{1} \boxed{3} \boxed{1} \boxed{2} \boxed{1} \boxed{1} \boxed{3} \boxed{1} \boxed{3} \boxed{1} \boxed{2} \boxed{1}$



Verifier

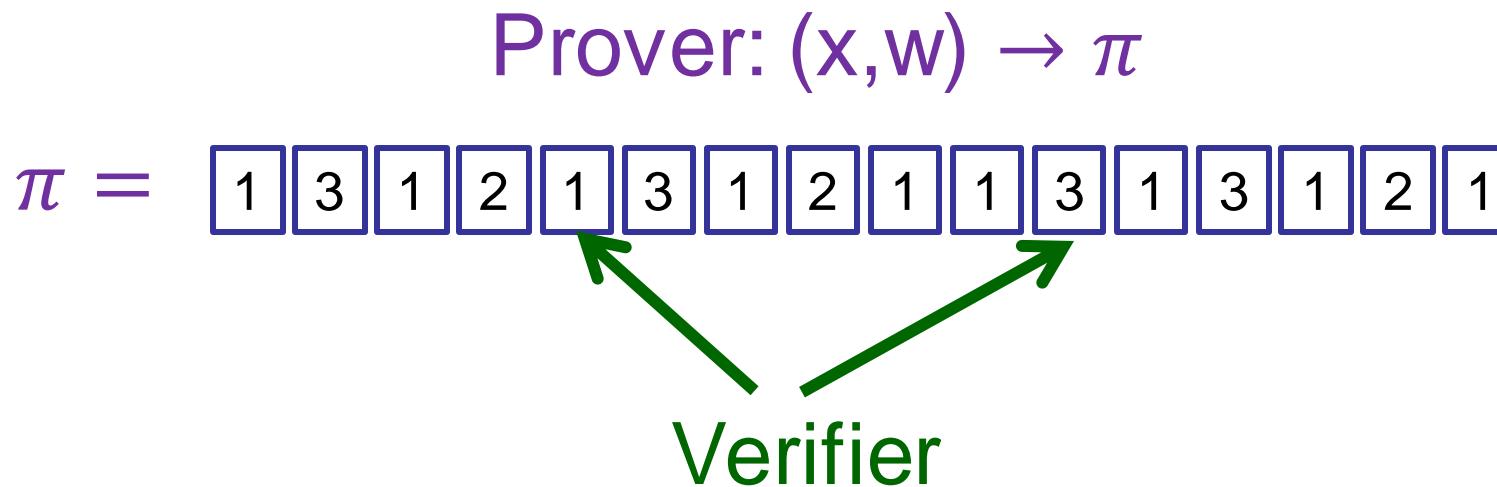


# Information-Theoretic Proof System: ZK-PCP



- Simple security definition
  - Completeness
  - Perfect (public-coin) ZK
  - Soundness error  $\epsilon$   
(amplified via parallel repetition)
- Clean efficiency measures
  - Alphabet size
  - Query complexity
  - Prover computation
  - Verifier computation

# Information-Theoretic Proof System: ZK-PCP



Crypto compilers

↓ +Stat-binding  
commit  
[GMW86,  
PW99]

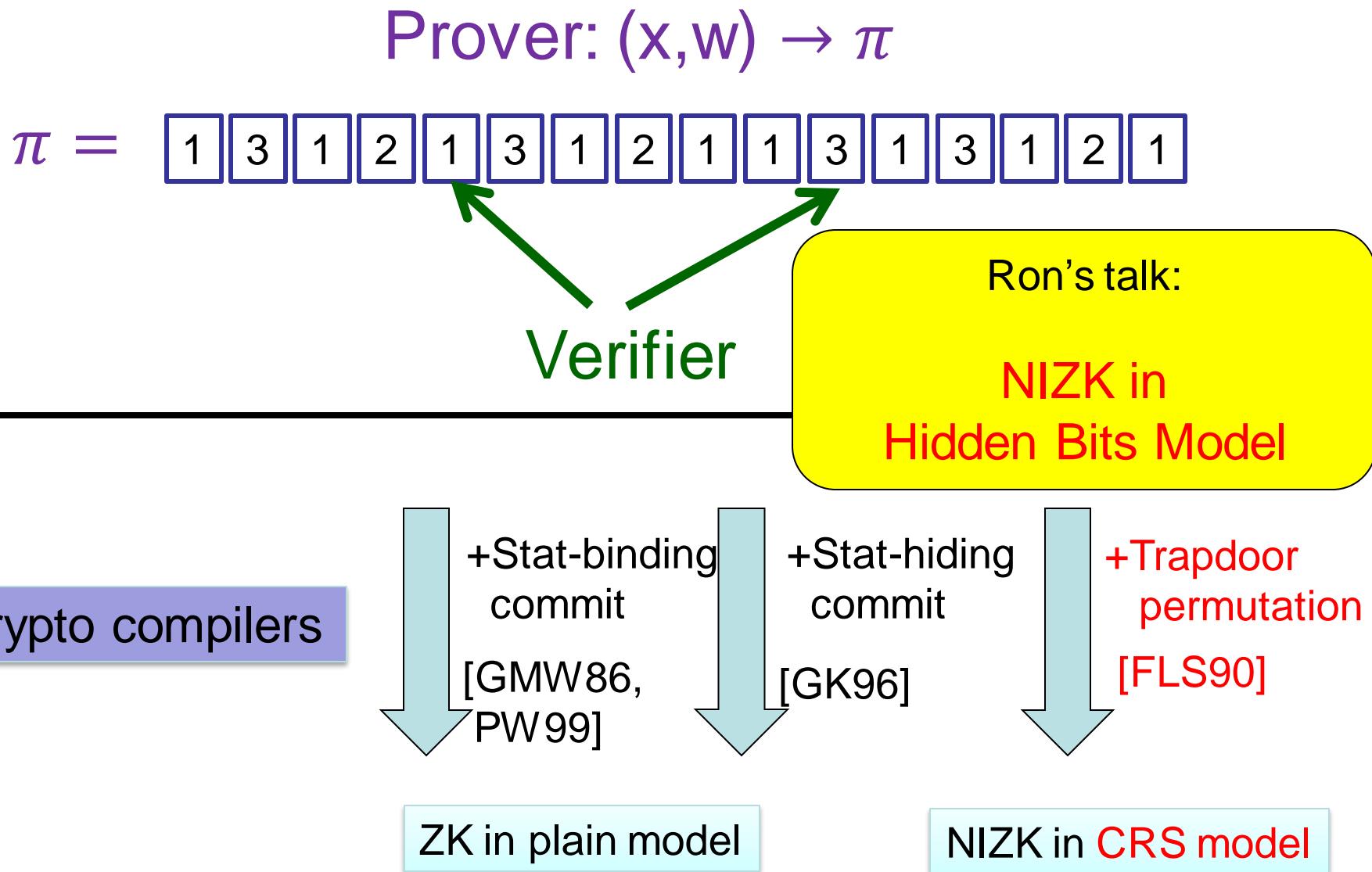
↓ +Stat-hiding  
commit  
[GK96]

↓ +Random  
oracle  
[FS86, Mic00]

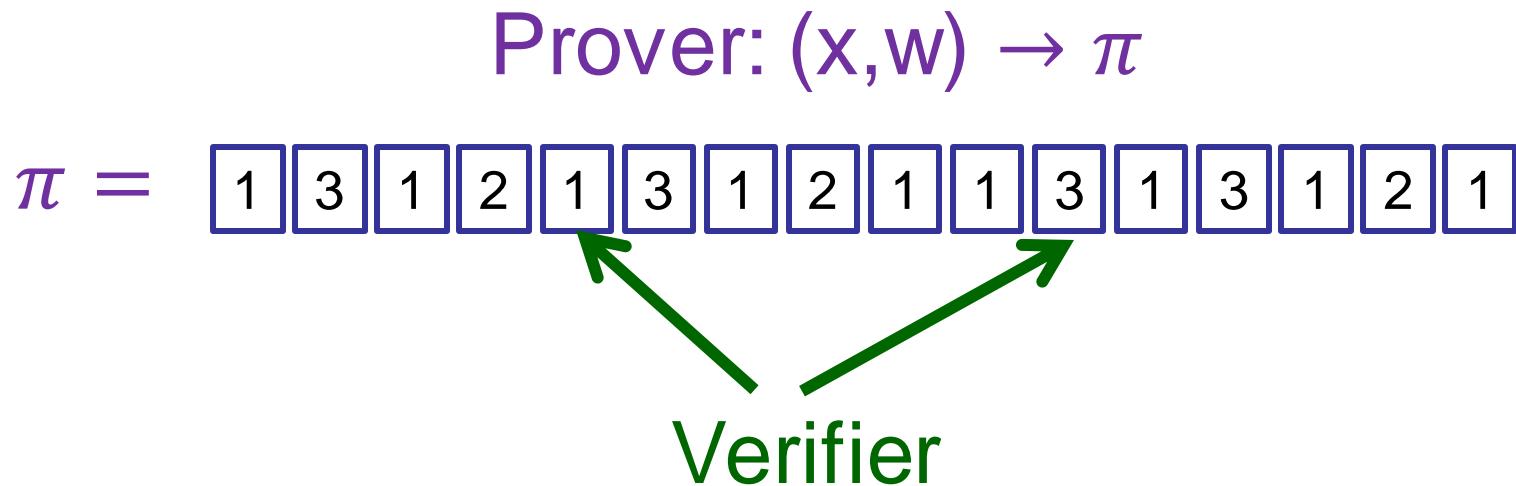
ZK in plain model

NIZK in ROM

# Information-Theoretic Proof System: ZK-PCP



# Information-Theoretic Proof System: ZK-PCP



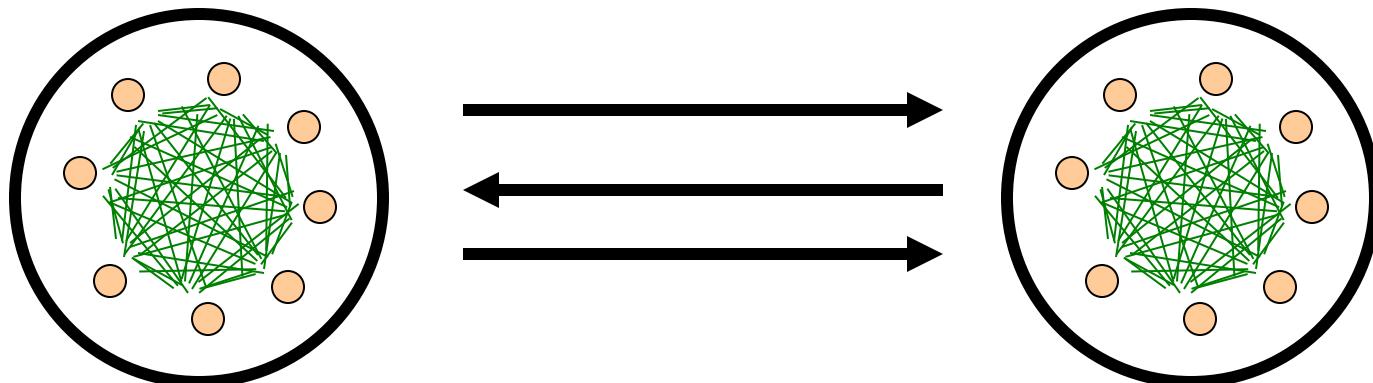
Better parameters?

Simpler?

Less “magical”?

# IT Compilers:

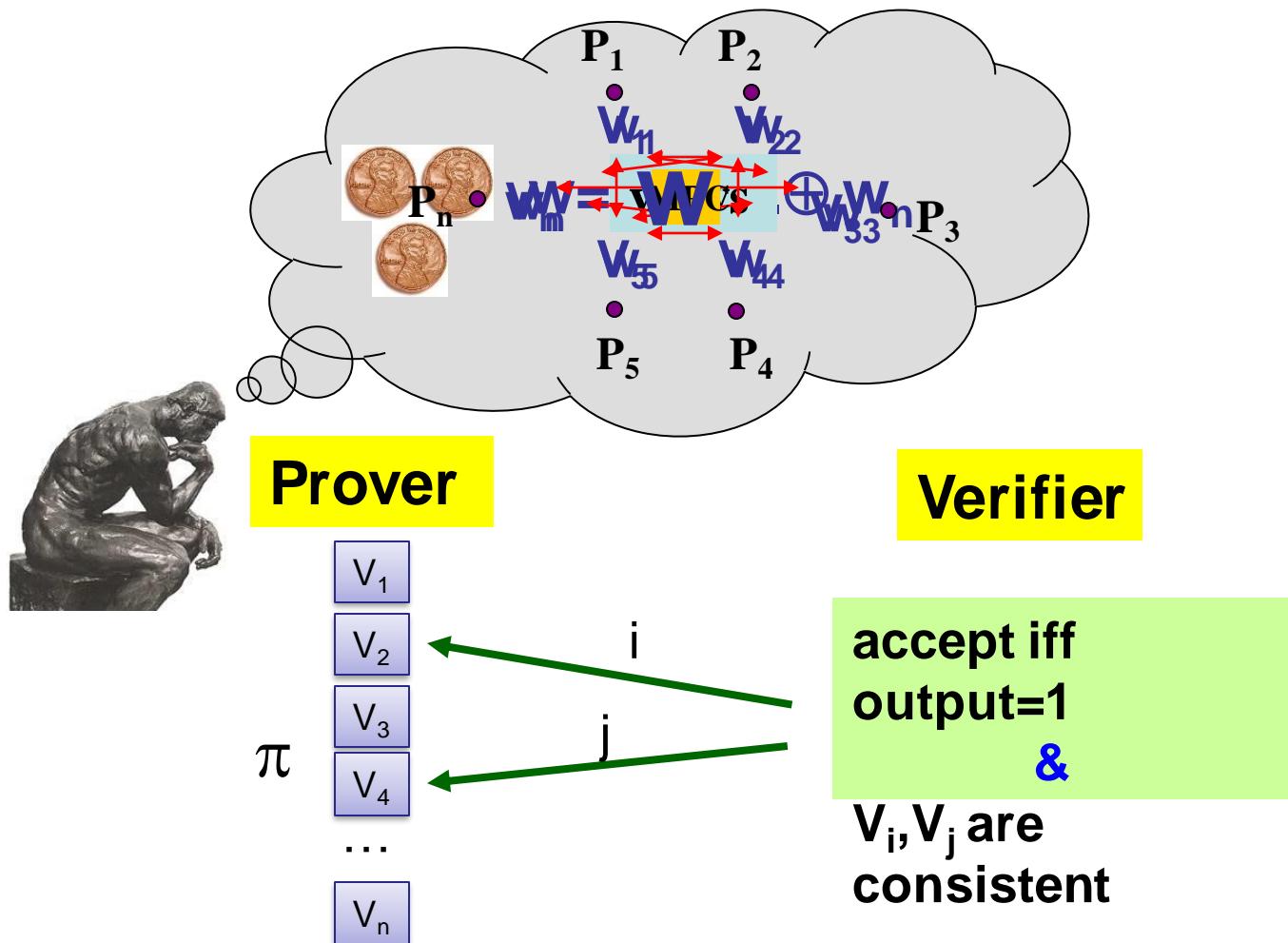
## MPC $\rightarrow$ ZK-PCP



# MPC $\rightarrow$ ZK-PCP

[IKOS07]

Given MPC protocol for  $f(w_1, \dots, w_n) = R(w_1 \oplus \dots \oplus w_n)$

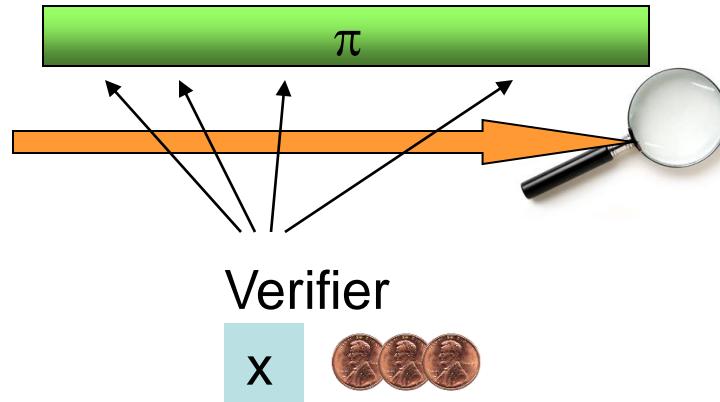


# Applications

- Simple ZK proofs using:
  - (2,5) or (1,3) semi-honest MPC [BGW88,CCD88,Maurer02]
  - (2,3) or (1,2) semi-honest MPC<sup>OT</sup> [Yao86,GMW87,GV87,GHY87]
  - Practical! [GMO16,CDG+17,KKW18] → post-quantum signatures!
- ZK proofs with  $O(|R|) + \text{poly}(k)$  communication
  - MPC from AG codes [CC05,DI05]
- Many good ZK protocols implied by MPC literature
  - MPC for linear algebra [CD01,...]
  - MPC over rings [CFIK03] or groups [DPSW07,CDI+13]
- Going (somewhat) sublinear! [AHIV17] – Carmit's talk

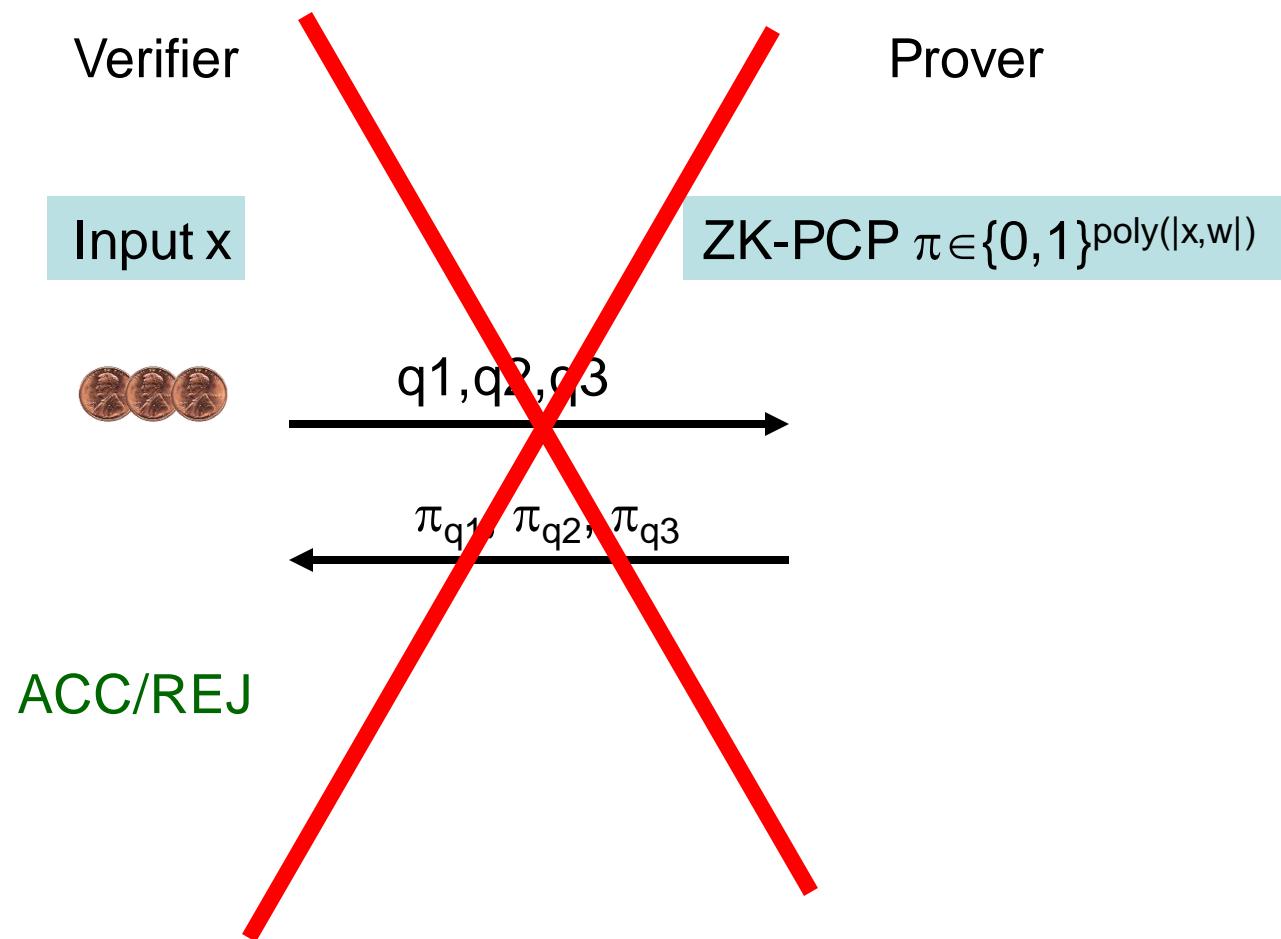
# Going fully sublinear

# Traditional PCPs



- $x \in L \rightarrow \exists \pi \quad \Pr[\text{Verifier accepts } \pi] = 1$
- $x \notin L \rightarrow \forall \pi^* \quad \Pr[\text{Verifier accepts } \pi^*] \leq 1/2$
- **PCP Theorem [AS,ALMSS,Dinur]:**  
NP statements have polynomial-size PCPs in which the verifier reads only  $O(1)$  bits.
  - Can be made ZK with small overhead [KPT97,IW04]

# Still need crypto compiler...

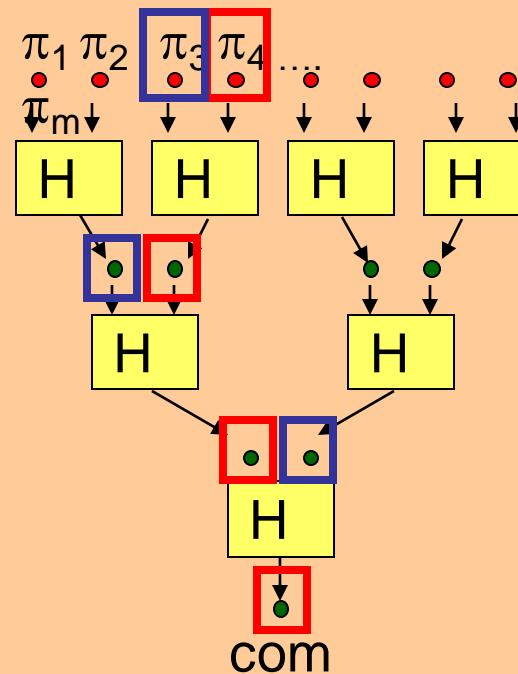


# Crypto Compiler

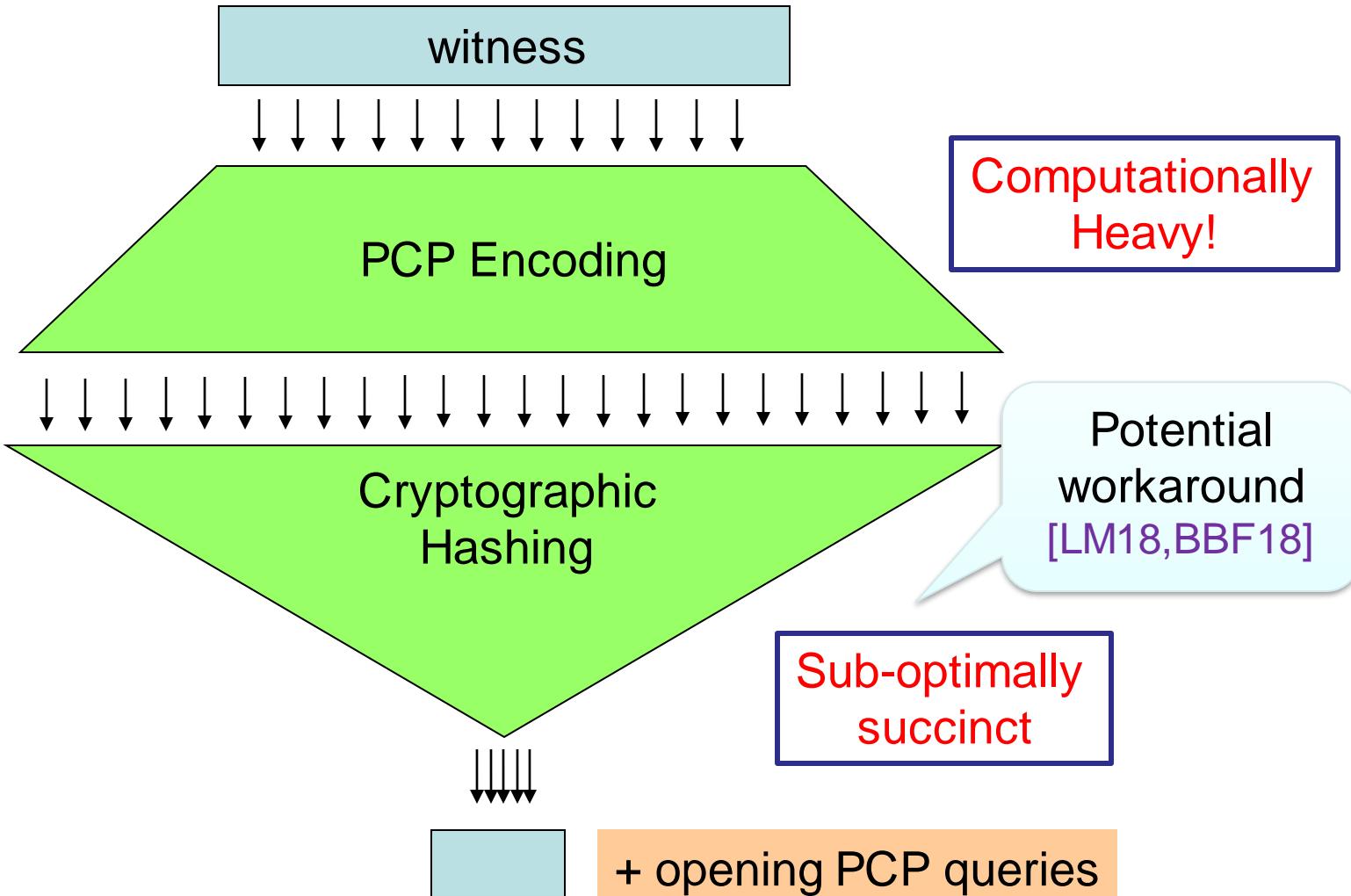
[Kil93, Mic94]

Merkle Tree construction

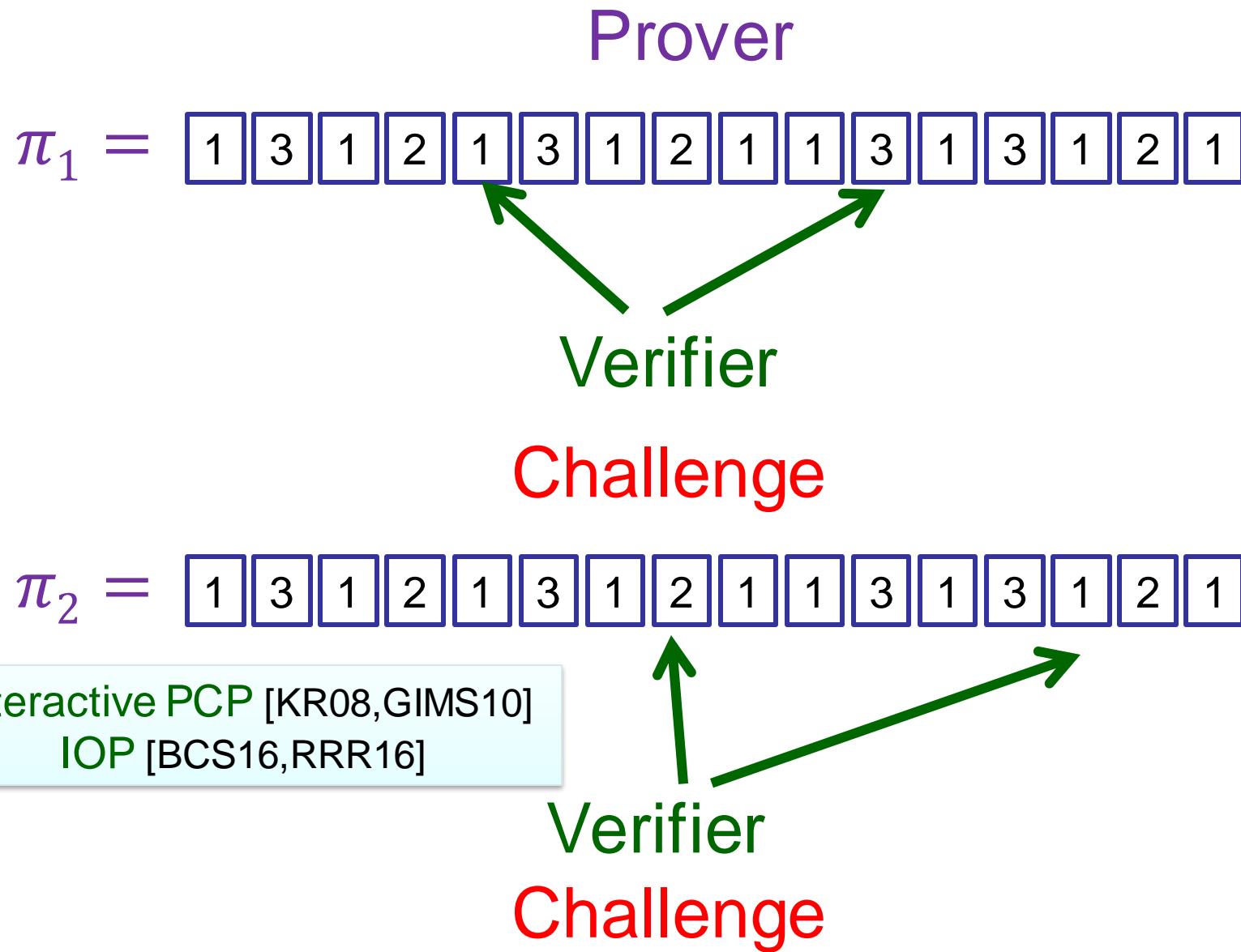
$H$  = collision resistant hash function  
 $H: \{0,1\}^* \rightarrow \{0,1\}^k$



# Limitations



# Relaxing PCP model 1: Interaction



# Relaxing PCP model 2: Linear PCP

[ALMSS98, IKO07, BCIOP13]

over a (large)  
finite field  $F$

Prover

$\pi =$ 

|   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 4 | 3 | 1 | 2 | 8 | 3 | 1 | 2 | 1 | 9 | 3 | 1 | 6 | 1 | 2 | 1 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|

inner product

$q_1 =$ 

|   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 5 | 3 | 6 | 2 | 1 | 3 | 1 | 2 | 1 | 1 | 6 | 1 | 3 | 1 | 8 | 1 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|

$q_2 =$ 

|   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 7 | 3 | 1 | 2 | 4 | 3 | 1 | 2 | 7 | 1 | 3 | 1 | 7 | 1 | 2 | 1 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|

$q_3 =$ 

|   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | 2 | 1 | 2 | 1 | 9 | 1 | 2 | 5 | 1 | 4 | 1 | 3 | 1 | 3 | 1 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|

Verifier

$a_1$

$x$

$a_2$

→

$a_3$

ACC / REJ

# Advantages of Linear PCPs

- Simple!
  - Hadamard PCP:  $\pi = (W, W \times W)$
- Short, efficiently computable
  - $O(|C|)$ -size, quasi-linear time via QSP/QAP [GGPR13, ...]
- Negligible soundness error with  $O(1)$  queries
  - Reusable soundness
    - $\Pr[\pi^* \text{ is accepted}]$  is either 1 or  $O(1/|F|)$
  - Maximal succinctness
  - In fact, 1 query is enough! [BCIOP13]

# Crypto Compilers for Linear PCPs

- First generation [IKO07, GI10, Gro10, SMBW12, ...]
  - Standard assumptions
    - Linearly homomorphic encryption, discrete log
  - Interactive, one-way-succinct/somewhat succinct
  - Idea: use succinct vector-commitment with linear opening
- Second generation [Gro10, Lip12, GGPR13, BCIOP13, ...]
  - Strong “knowledge” or “targeted malleability” assumptions
  - Non-interactive using a (long, structured) CRS
  - Publicly verifiable via pairings
  - Idea: include “encrypted queries” in CRS

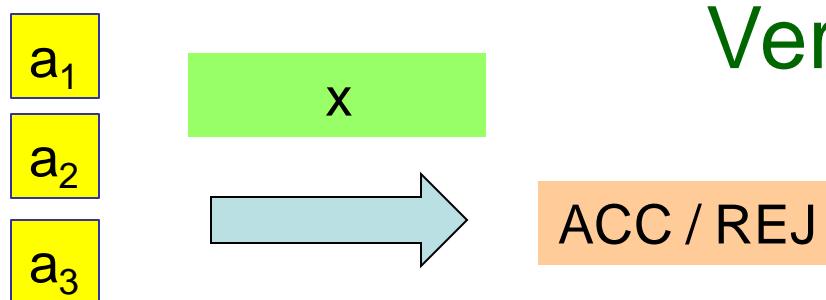
# Crypto Compiler: First Attempt

## Prover

$$\pi = \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline 4 & 3 & 1 & 2 & 8 & 3 & 1 & 2 & 1 & 9 & 3 & 1 & 6 & 1 & 2 & 1 \\ \hline \end{array}$$

$$q_1 = \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline 5 & 3 & 6 & 2 & 1 & 3 & 1 & 2 & 1 & 1 & 6 & 1 & 3 & 1 & 8 & 1 \\ \hline \end{array}$$
$$q_2 = \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline 7 & 3 & 1 & 2 & 4 & 3 & 1 & 2 & 7 & 1 & 3 & 1 & 7 & 1 & 2 & 1 \\ \hline \end{array}$$
$$q_3 = \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline 1 & 2 & 1 & 2 & 1 & 9 & 1 & 2 & 5 & 1 & 4 & 1 & 3 & 1 & 3 & 1 \\ \hline \end{array}$$

## Verifier



# Crypto Compiler: First Attempt

CRS

$$\begin{aligned} q_1 &= \boxed{5} \ \boxed{3} \ \boxed{6} \ \boxed{2} \ \boxed{1} \ \boxed{3} \ \boxed{1} \ \boxed{2} \ \boxed{1} \ \boxed{1} \ \boxed{6} \ \boxed{1} \ \boxed{3} \ \boxed{1} \ \boxed{8} \ \boxed{1} \\ q_2 &= \boxed{7} \ \boxed{3} \ \boxed{1} \ \boxed{2} \ \boxed{4} \ \boxed{3} \ \boxed{1} \ \boxed{2} \ \boxed{7} \ \boxed{1} \ \boxed{3} \ \boxed{1} \ \boxed{7} \ \boxed{1} \ \boxed{2} \ \boxed{1} \\ q_3 &= \boxed{1} \ \boxed{2} \ \boxed{1} \ \boxed{2} \ \boxed{1} \ \boxed{9} \ \boxed{1} \ \boxed{2} \ \boxed{5} \ \boxed{1} \ \boxed{4} \ \boxed{1} \ \boxed{3} \ \boxed{1} \ \boxed{3} \ \boxed{1} \end{aligned}$$

Prover

$$\pi = \boxed{4} \ \boxed{3} \ \boxed{1} \ \boxed{2} \ \boxed{8} \ \boxed{3} \ \boxed{1} \ \boxed{2} \ \boxed{1} \ \boxed{9} \ \boxed{3} \ \boxed{1} \ \boxed{6} \ \boxed{1} \ \boxed{2} \ \boxed{1}$$

Verifier

$a_1$

x

$a_2$



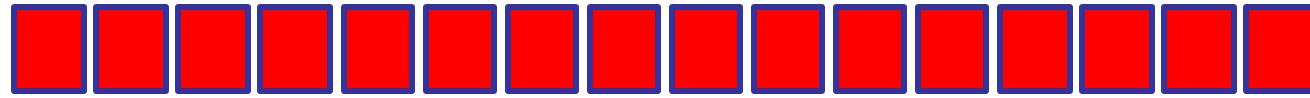
$a_3$

ACC / REJ

# Crypto Compiler: First Attempt

CRS

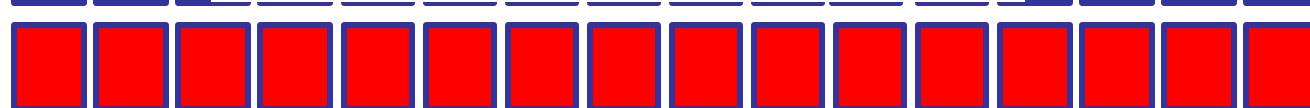
$$q_1 =$$



$$q_2 =$$

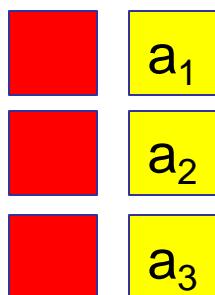
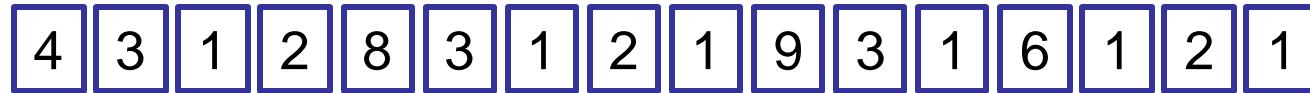


$$q_3 =$$



Prover

$$\pi =$$



x



Verifier

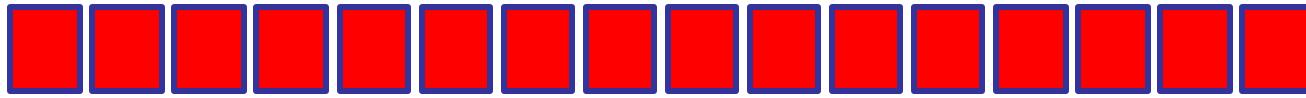
ACC / REJ



# Crypto Compiler: First Attempt

CRS

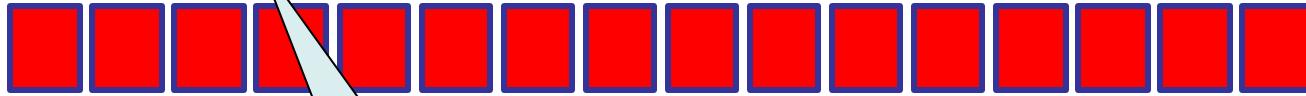
$q_1 =$



$q_2 =$

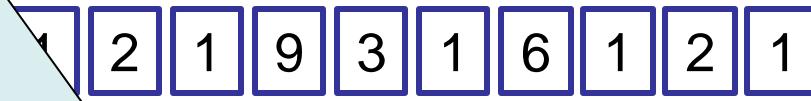
linearly homomorphic encryption

$q_3 =$

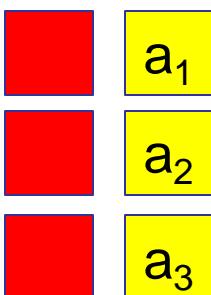


Prover

$\pi =$



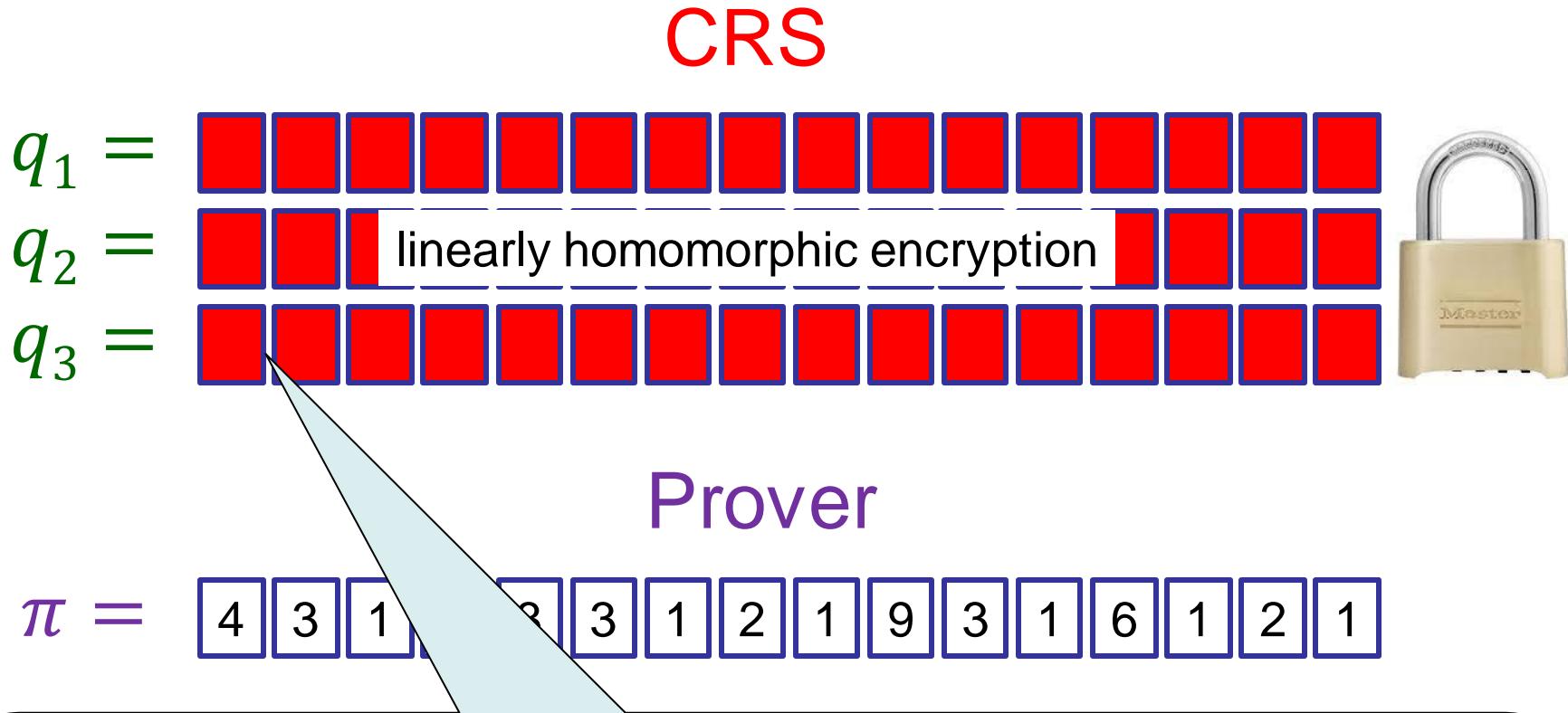
**Problem 1:** May allow more than just linear functions!



**Solution 1:** Assume it away: “linear-only encryption”

- A natural instance of targeted malleability [BSW12]
- Plausible for most natural public-key encryption schemes
  - ... including post-quantum ones [Reg05, BISW17]
- Win-win flavor

# Crypto Compiler



**Problem 2:** Prover can apply different  $\pi_i$  to each  $q_i$  or even combine  $q_i$

**Solution 2:** Compile LPCP into a proof system that resists this attack

- Linear Interactive Proof (LIP): 2-message IP with “linear-bounded” Prover
- IT compiler: LPCP  $\rightarrow$  LIP via a random consistency check [BCIOP13]

# Crypto Compiler

CRS

$$\begin{aligned} q_1 &= \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline \text{red} & \text{red} \\ \hline \end{array} \\ q_2 &= \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline \text{red} & \text{red} \\ \hline \end{array} \text{ linearly homomorphic encryption} \\ q_3 &= \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline \text{red} & \text{red} \\ \hline \end{array} \end{aligned}$$



Prover

$$\pi = \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline 4 & 3 & 1 & \text{blue} & 8 & 3 & 1 & 2 & 1 & 9 & 3 & 1 & 6 & 1 & 2 & 1 \\ \hline \end{array}$$

Problem 3: Only works in a designated-verifier setting

Solutions 3:

- Look for designated verifiers around your neighborhood
- LPCP with **deg-2** decision + “**bilinear groups**” → **public verification** [Gro00,BCIOP03]

# Combining the Two Relaxations: Linear IOP

Variant: ILC model  
[BCGGHJ17]

Prover

$$\pi_1 = \boxed{1} \boxed{3} \boxed{1} \boxed{2} \boxed{1} \boxed{3} \boxed{1} \boxed{2} \boxed{1} \boxed{1} \boxed{3} \boxed{1} \boxed{3} \boxed{1} \boxed{2} \boxed{1}$$

$$q_1 = \boxed{5} \boxed{3} \boxed{6} \boxed{2} \boxed{1} \boxed{3} \boxed{1} \boxed{2} \boxed{1} \boxed{1} \boxed{6} \boxed{1} \boxed{3} \boxed{1} \boxed{8} \boxed{1}$$

Verifier  
Challenge

$$\pi_2 = \boxed{1} \boxed{3} \boxed{1} \boxed{2} \boxed{1} \boxed{3} \boxed{1} \boxed{2} \boxed{1} \boxed{1} \boxed{3} \boxed{1} \boxed{3} \boxed{1} \boxed{2} \boxed{1}$$

$$q_2 = \boxed{7} \boxed{3} \boxed{1} \boxed{2} \boxed{4} \boxed{3} \boxed{1} \boxed{2} \boxed{7} \boxed{1} \boxed{3} \boxed{1} \boxed{7} \boxed{1} \boxed{2} \boxed{1}$$

Challenge

Implicit in interactive proofs for P  
[GKR08,RRR16]

# Fully Linear PCP/IOP

[BBCGI19]

- Suppose statement  $x$  is known to prover but is
  - Secret-shared between two or more verifiers
  - Partitioned between two or more verifiers
- Goal: strong ZK, hiding  $x$  as well
- Tool: fully linear ZK proof systems
  - Only allow linear access to  $x$ :  $q_i$  applies jointly to  $(x, \pi)$
  - Can be naturally compiled to ZK in above settings
    - Also with linearly encrypted or committed input
    - Implicitly used in previous systems [BGI16,CB17]

# Fully Linear PCP/IOP

[BBCGI19]

- Constructions: NP languages
  - Standard LPCPs for NP are fully linear, but big proofs
  - Meaningful also for “simple” languages in P!
- Sublinear-size proofs for “simple” languages
  - Implicit in interactive proofs [GKR08,RRR16,NPY18]
  - New constructions for low-degree polynomials
    - E.g., test that  $x \in F^n$  is in  $\{0,1\}^n$

# Conclusions

- Modular approach to efficient ZKP design
  - Information-theoretic ZK-PCP + crypto compiler
    - point queries vs. linear queries
    - non-interactive vs. interactive
- Applies to most efficient ZKP from the literature
  - In a sense inherent to “black-box” constructions [RV09]
  - but not to non-bb constructions [Val09,BCCT13,BCTV14]
- Lots of room for further progress
  - Better PCPs (and lower bounds)
  - Better crypto compilers
  - Better IT compilers

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