

Encryption and Message Authentication

Bar-Ilan Winter School¹

Benny Applebaum

Tel-Aviv University

January, 2014

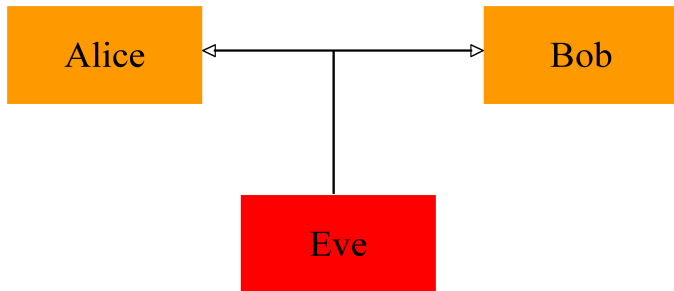
¹These slides are partially based on Benny Chor's slides.

And Finally, Let's Talk Business

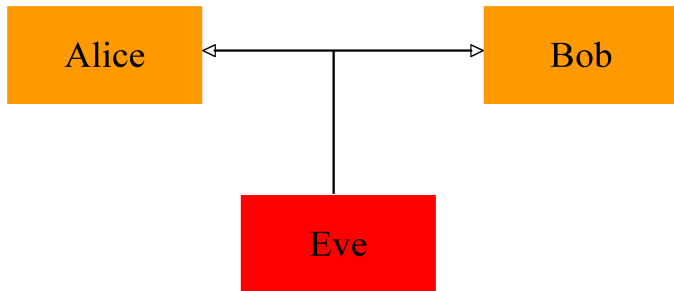
And Finally, Let's Talk Business

Encryption

Basic Setting

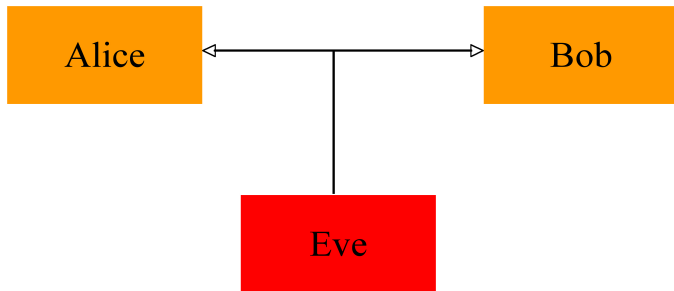


Basic Setting



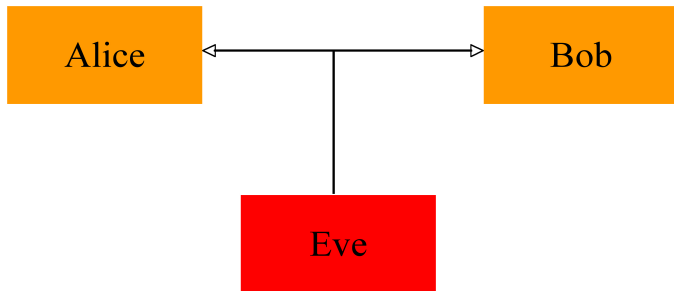
- 1 Eve listens to the communication.

Basic Setting



- ① Eve listens to the communication.
- ② Alice and Bob share a secret random **key** $k \xleftarrow{R} \{0, 1\}^n$.

Basic Setting



- ① Eve listens to the communication.
- ② Alice and Bob share a secret random **key** $k \xleftarrow{R} \{0, 1\}^n$.
- ③ Goal: Alice would like to send Bob a message m **confidentially**.

Security Goals

There are some different goals we may be after

Security Goals

There are some different goals we may be after

- No adversary can learn m

Security Goals

There are some different goals we may be after

- No adversary can learn m
- No adversary can learn any **meaningful information** about m .

Security Goals

There are some different goals we may be after

- No adversary can learn m
- No adversary can learn any **meaningful information** about m .
- No adversary can learn **any information** about m

Security Goals

There are some different goals we may be after

- No adversary can learn m
- No adversary can learn any **meaningful information** about m .
- No adversary can learn **any information** about m

Important questions:

- What are the adversary's capabilities (e.g., passive/active) and knowledge (prior information)?

Security Goals

There are some different goals we may be after

- No adversary can learn m
- No adversary can learn any **meaningful information** about m .
- No adversary can learn **any information** about m

Important questions:

- What are the adversary's capabilities (e.g., passive/active) and knowledge (prior information)?
- What are the adversary's **computational resources**?

Security Goals

There are some different goals we may be after

- No adversary can learn m
- No adversary can learn any **meaningful information** about m .
- No adversary can learn **any information** about m

Important questions:

- What are the adversary's capabilities (e.g., passive/active) and knowledge (prior information)?
- What are the adversary's **computational resources**?
- Different answers lead to different security definitions.

Security Goals

There are some different goals we may be after

- No adversary can learn m
- No adversary can learn any **meaningful information** about m .
- No adversary can learn **any information** about m

Important questions:

- What are the adversary's capabilities (e.g., passive/active) and knowledge (prior information)?
- What are the adversary's **computational resources**?
- Different answers lead to different security definitions.

Security Goals

There are some different goals we may be after

- No adversary can learn m
- No adversary can learn any **meaningful information** about m .
- No adversary can learn **any information** about m

Important questions:

- What are the adversary's capabilities (e.g., passive/active) and knowledge (prior information)?
- What are the adversary's **computational resources**?
- Different answers lead to different security definitions.

Meta question:

- Can we formalize secrecy mathematically?

Encryption Syntax

Definition

A **symmetric encryption** scheme consists of:

- **Encryption Algorithm:** E maps a key $k \in \{0, 1\}^*$ and a plaintext $m \in \{0, 1\}^*$ into a ciphertext $E_k(m)$.

Encryption Syntax

Definition

A **symmetric encryption** scheme consists of:

- **Encryption Algorithm:** E maps a key $k \in \{0, 1\}^*$ and a plaintext $m \in \{0, 1\}^*$ into a ciphertext $E_k(m)$.
- **Decryption Algorithm:** D maps a key $k \in \{0, 1\}^*$ and a ciphertext $c \in \{0, 1\}^*$ into a plaintext $D_k(c)$.

Encryption Syntax

Definition

A **symmetric encryption** scheme consists of:

- **Encryption Algorithm:** E maps a key $k \in \{0, 1\}^*$ and a plaintext $m \in \{0, 1\}^*$ into a ciphertext $E_k(m)$.
- **Decryption Algorithm:** D maps a key $k \in \{0, 1\}^*$ and a ciphertext $c \in \{0, 1\}^*$ into a plaintext $D_k(c)$.

Encryption Syntax

Definition

A **symmetric encryption** scheme consists of:

- **Encryption Algorithm**: E maps a key $k \in \{0, 1\}^*$ and a plaintext $m \in \{0, 1\}^*$ into a ciphertext $E_k(m)$.
- **Decryption Algorithm**: D maps a key $k \in \{0, 1\}^*$ and a ciphertext $c \in \{0, 1\}^*$ into a plaintext $D_k(c)$.

The scheme should be **correct**:

$$\forall m \in \{0, 1\}^*, k \in \{0, 1\}^* : D_k(E_k(m)) = m.$$

Encryption Syntax

Definition

A **symmetric encryption** scheme consists of:

- **Encryption Algorithm:** E maps a key $k \in \{0, 1\}^*$ and a plaintext $m \in \{0, 1\}^*$ into a ciphertext $E_k(m)$.
- **Decryption Algorithm:** D maps a key $k \in \{0, 1\}^*$ and a ciphertext $c \in \{0, 1\}^*$ into a plaintext $D_k(c)$.

The scheme should be **correct**:

$$\forall m \in \{0, 1\}^*, k \in \{0, 1\}^* : D_k(E_k(m)) = m.$$

Note: Both algorithms are efficient and may be randomized.

Encryption Syntax

Definition

A **symmetric encryption** scheme consists of:

- **Encryption Algorithm**: E maps a key $k \in \{0, 1\}^*$ and a plaintext $m \in \{0, 1\}^*$ into a ciphertext $E_k(m)$.
- **Decryption Algorithm**: D maps a key $k \in \{0, 1\}^*$ and a ciphertext $c \in \{0, 1\}^*$ into a plaintext $D_k(c)$.

The scheme should be **correct**:

$$\forall m \in \{0, 1\}^*, k \in \{0, 1\}^* : D_k(E_k(m)) = m.$$

Note: Both algorithms are efficient and may be randomized.
So far, no requirement of **secrecy**.

Security as Indistinguishability

An encryption of m_0 and an encryption of m_1 should “look the same”.



Perfect Secrecy (Shannon '49)

For **any** pair of different messages m_0 and m_1 of equal length: The ciphertexts c_0 and c_1 should be **identically distributed**.

Experiment 0

Let $k \xleftarrow{R} \{0, 1\}^n$
Output $c_0 = E_k(m_0)$

$\equiv$

Experiment 1

Let $k \xleftarrow{R} \{0, 1\}^n$
Output $c_1 = E_k(m_1)$

- Very strong definition: can't distinguish **attack** from **retreat**

Perfect Secrecy (Shannon '49)

For **any** pair of different messages m_0 and m_1 of equal length: The ciphertexts c_0 and c_1 should be **identically distributed**.

Experiment 0

Let $k \xleftarrow{R} \{0, 1\}^n$
Output $c_0 = E_k(m_0)$

$\equiv$

Experiment 1

Let $k \xleftarrow{R} \{0, 1\}^n$
Output $c_1 = E_k(m_1)$

- Very strong definition: can't distinguish **attack** from **retreat**
- Example: **one-time pad** ($E_k(m) = k \oplus m$) is perfectly secret.

Perfect Secrecy (Shannon '49)

For **any** pair of different messages m_0 and m_1 of equal length: The ciphertexts c_0 and c_1 should be **identically distributed**.

Experiment 0

Let $k \xleftarrow{R} \{0, 1\}^n$
Output $c_0 = E_k(m_0)$

$\equiv$

Experiment 1

Let $k \xleftarrow{R} \{0, 1\}^n$
Output $c_1 = E_k(m_1)$

- Very strong definition: can't distinguish **attack** from **retreat**
- Example: **one-time pad** ($E_k(m) = k \oplus m$) is perfectly secret.
- Unfortunately, perfect secrecy requires long key $|m| = |k|$
(Ex: prove it!)

Computational Secrecy (Goldwasser & Micali '82)

For **any** pair of different messages m_0 and m_1 of equal length:
The ciphertexts c_0 and c_1 should be **indistinguishable for computationally-bounded** adversary.

Experiment 0

Let $k \xleftarrow{R} \{0, 1\}^n$

Output $c_0 = E_k(m_0)$

$\equiv$

Experiment 1

Let $k \xleftarrow{R} \{0, 1\}^n$

Output $c_1 = E_k(m_1)$

Computational Secrecy (Goldwasser & Micali '82)

For **any** pair of different messages m_0 and m_1 of equal length:
The ciphertexts c_0 and c_1 should be **indistinguishable for computationally-bounded** adversary.

Experiment 0

Let $k \xleftarrow{R} \{0, 1\}^n$
Output $c_0 = E_k(m_0)$

$\equiv$

Experiment 1

Let $k \xleftarrow{R} \{0, 1\}^n$
Output $c_1 = E_k(m_1)$

$$\Pr[\mathcal{A}(c_0) = \text{accept}] \quad - \quad \Pr[\mathcal{A}(c_1) = \text{accept}] < \epsilon$$

- For any PPT adversary $\mathcal{A}$ and some negligible ϵ .

Computational Secrecy (Goldwasser & Micali '82)

For **any** pair of different messages m_0 and m_1 of equal length:
The ciphertexts c_0 and c_1 should be **indistinguishable for computationally-bounded** adversary.

Experiment 0

Let $k \xleftarrow{R} \{0, 1\}^n$
Output $c_0 = E_k(m_0)$

$\equiv$

Experiment 1

Let $k \xleftarrow{R} \{0, 1\}^n$
Output $c_1 = E_k(m_1)$

$$\Pr[\mathcal{A}(c_0) = \text{accept}] \quad - \quad \Pr[\mathcal{A}(c_1) = \text{accept}] < \epsilon$$

- For any PPT adversary $\mathcal{A}$ and some negligible ϵ .

Computational Secrecy vs. Semantic Security

Comp. Secrecy is also known as Message Indistinguishability

Computational Secrecy vs. Semantic Security

Comp. Secrecy is also known as Message Indistinguishability

Semantic Security:

- “Everything that can be computed efficiently given the ciphertext can be also computed without the ciphertext”

Computational Secrecy vs. Semantic Security

Comp. Secrecy is also known as Message Indistinguishability

Semantic Security:

- “Everything that can be computed efficiently given the ciphertext can be also computed without the ciphertext”
- Therefore the ciphertext does not “add” useful information (for computationally bounded adversary)

Computational Secrecy vs. Semantic Security

Comp. Secrecy is also known as Message Indistinguishability

Semantic Security:

- “Everything that can be computed efficiently given the ciphertext can be also computed without the ciphertext”
- Therefore the ciphertext does not “add” useful information (for computationally bounded adversary)
- **Exercise:** try to formally define semantic security

Computational Secrecy vs. Semantic Security

Comp. Secrecy is also known as Message Indistinguishability

Semantic Security:

- “Everything that can be computed efficiently given the ciphertext can be also computed without the ciphertext”
- Therefore the ciphertext does not “add” useful information (for computationally bounded adversary)
- **Exercise:** try to formally define semantic security

Computational Secrecy vs. Semantic Security

Comp. Secrecy is also known as Message Indistinguishability

Semantic Security:

- “Everything that can be computed efficiently given the ciphertext can be also computed without the ciphertext”
- Therefore the ciphertext does not “add” useful information (for computationally bounded adversary)
- **Exercise:** try to formally define semantic security

Thm. Semantic Security is equivalent to Computational Secrecy (up to a polynomial loss in the parameters)

Computational Secrecy vs. Semantic Security

Comp. Secrecy is also known as Message Indistinguishability

Semantic Security:

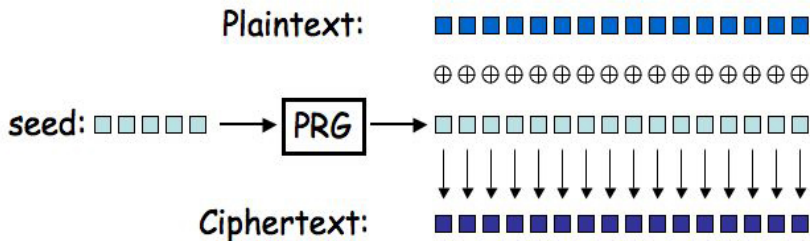
- “Everything that can be computed efficiently given the ciphertext can be also computed without the ciphertext”
- Therefore the ciphertext does not “add” useful information (for computationally bounded adversary)
- **Exercise:** try to formally define semantic security

Thm. Semantic Security is equivalent to Computational Secrecy (up to a polynomial loss in the parameters)

Great ! computational secrecy is a strong notion.
Is it feasible (with a short key)?

Computational analog of “one-time pad”

- Choose a secret random short key k (“seed”)
- Expand the seed into a long **keying stream** $G(k)$
- Encrypt m by $c = G(k) \oplus m$
- Decrypt c to $m = c \oplus G(k)$.



Pseudorandom Generators (Reminder)

A **pseudorandom generator** is a polynomial time computable function $G : \{0, 1\}^n \mapsto \{0, 1\}^\ell$, $\ell \gg n$, which satisfies:

Pseudorandom

Choose $k \xleftarrow{R} \{0, 1\}^n$
Output $y_0 = G(k)$

$\equiv$

Random

Choose $y_1 \xleftarrow{R} \{0, 1\}^\ell$
Output y_1

The output of G is **computationally indistinguishable** from **truly random strings** of length ℓ .

From PRG to Encryption

Theorem

*Assume that $\text{PRG} : \{0,1\}^n \rightarrow \{0,1\}^\ell$ is pseudorandom.
Then the “computational OTP” is secure.*

From PRG to Encryption

Theorem

Assume that $\text{PRG} : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ is pseudorandom.
Then the “computational OTP” is secure.

Proof sketch.

- $E_k(m_0) \equiv (\text{PRG}(U_n) \oplus m_0) \stackrel{c}{=} (U_\ell \oplus m_0) \equiv U_\ell.$

From PRG to Encryption

Theorem

Assume that $\text{PRG} : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ is pseudorandom.
Then the “computational OTP” is secure.

Proof sketch.

- $E_k(m_0) \equiv (\text{PRG}(U_n) \oplus m_0) \stackrel{c}{\equiv} (U_\ell \oplus m_0) \equiv U_\ell.$
- For similar reason, $E_k(m_1) \stackrel{c}{\equiv} U_\ell.$

From PRG to Encryption

Theorem

Assume that $\text{PRG} : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ is pseudorandom.
Then the “computational OTP” is secure.

Proof sketch.

- $E_k(m_0) \equiv (\text{PRG}(U_n) \oplus m_0) \stackrel{c}{\equiv} (U_\ell \oplus m_0) \equiv U_\ell.$
- For similar reason, $E_k(m_1) \stackrel{c}{\equiv} U_\ell.$
- Hence, $E_k(m_0) \stackrel{c}{\equiv} E_k(m_1).$

From PRG to Encryption

Theorem

Assume that $\text{PRG} : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ is pseudorandom.
Then the “computational OTP” is secure.

Proof sketch.

- $E_k(m_0) \equiv (\text{PRG}(U_n) \oplus m_0) \stackrel{c}{\equiv} (U_\ell \oplus m_0) \equiv U_\ell.$
- For similar reason, $E_k(m_1) \stackrel{c}{\equiv} U_\ell.$
- Hence, $E_k(m_0) \stackrel{c}{\equiv} E_k(m_1).$



Multiple Messages

- We would like to use the same key to encrypt many messages

Multiple Messages

- We would like to use the same key to encrypt many messages
- Recall that the PRG-based encryption is defined by

$$E_k(m) = \text{PRG}(k) \oplus m$$

Multiple Messages

- We would like to use the same key to encrypt many messages
- Recall that the PRG-based encryption is defined by
$$E_k(m) = \text{PRG}(k) \oplus m$$
- Is it ok to encrypt with the same key twice ?

Multiple Messages

- We would like to use the same key to encrypt many messages
- Recall that the PRG-based encryption is defined by
$$E_k(m) = \text{PRG}(k) \oplus m$$
- Is it ok to encrypt with the same key twice ?
- **Bad idea:** Given $E_k(m_1)$ and $E_k(m_2)$ the adversary learns whether $m_1 = m_2$ or more generally $m_1 \oplus m_2$

Multiple Messages

- We would like to use the same key to encrypt many messages
- Recall that the PRG-based encryption is defined by
$$E_k(m) = \text{PRG}(k) \oplus m$$
- Is it ok to encrypt with the same key twice ?
- **Bad idea:** Given $E_k(m_1)$ and $E_k(m_2)$ the adversary learns whether $m_1 = m_2$ or more generally $m_1 \oplus m_2$
- Old versions of MS Word used an (excellent) PRG twice!
As a result the encryption was completely broken and the plaintext was fully recovered !

Multiple Messages

- We would like to use the same key to encrypt many messages
- Recall that the PRG-based encryption is defined by
$$E_k(m) = \text{PRG}(k) \oplus m$$
- Is it ok to encrypt with the same key twice ?
- **Bad idea:** Given $E_k(m_1)$ and $E_k(m_2)$ the adversary learns whether $m_1 = m_2$ or more generally $m_1 \oplus m_2$
- Old versions of MS Word used an (excellent) PRG twice!
As a result the encryption was completely broken and the plaintext was fully recovered !
- But we proved that the encryption is **secure**!

Multiple Messages

- We would like to use the same key to encrypt many messages
- Recall that the PRG-based encryption is defined by
$$E_k(m) = \text{PRG}(k) \oplus m$$
- Is it ok to encrypt with the same key twice ?
- **Bad idea**: Given $E_k(m_1)$ and $E_k(m_2)$ the adversary learns whether $m_1 = m_2$ or more generally $m_1 \oplus m_2$
- Old versions of MS Word used an (excellent) PRG twice!
As a result the encryption was completely broken and the plaintext was fully recovered !
- But we proved that the encryption is **secure**!
- What went **wrong**?

Multiple Messages

- Our notion of security was defined for a single message

Multiple Messages

- Our notion of security was defined for a single message
- If we want to encrypt many messages we need a stronger definition

Multiple Messages

- Our notion of security was defined for a single message
- If we want to encrypt many messages we need a stronger definition
- In fact, we would like to grant the adversary the extra power of Chosen Plaintext Attack

Multiple Messages

- Our notion of security was defined for a single message
- If we want to encrypt many messages we need a stronger definition
- In fact, we would like to grant the adversary the extra power of **Chosen Plaintext Attack**
- Before that, let us reconsider our original definition

Reminder: Ciphertext Indistinguishability

For any pair of messages m_0 and m_1 of equal length:

Experiment 0

Let $k \xleftarrow{R} \{0, 1\}^n$

Output $c_0 = E_k(m_0)$

$\equiv$

Experiment 1

Let $k \xleftarrow{R} \{0, 1\}^n$

Output $c_1 = E_k(m_1)$

Ciphertext Indistinguishability: Alternative Formulation

Challenger

$$k \xleftarrow{R} \{0,1\}^n$$

$$b \xleftarrow{R} \{0,1\}$$

$$\leftarrow (m_0, m_1)$$

$$E_k(m_b) \rightarrow$$

Adversary $\mathcal{A}(1^n)$

Output b'

Ciphertext Indistinguishability: Alternative Formulation

Challenger

$k \xleftarrow{R} \{0,1\}^n$

$b \xleftarrow{R} \{0,1\}$

$\leftarrow (m_0, m_1)$

$E_k(m_b) \rightarrow$

Adversary $\mathcal{A}(1^n)$

Output b'

- $\mathcal{A}$ chooses a test m_0, m_1 and tries to distinguish $E_k(m_0)$ from $E_k(m_1)$

Ciphertext Indistinguishability: Alternative Formulation

Challenger

$k \xleftarrow{R} \{0,1\}^n$

$b \xleftarrow{R} \{0,1\}$

$\leftarrow (m_0, m_1)$

$E_k(m_b) \rightarrow$

Adversary $\mathcal{A}(1^n)$

Output b'

- $\mathcal{A}$ chooses a test m_0, m_1 and tries to distinguish $E_k(m_0)$ from $E_k(m_1)$
- It is always possible to guess b with probability $\frac{1}{2}$

Ciphertext Indistinguishability: Alternative Formulation

Challenger

$$k \xleftarrow{R} \{0,1\}^n$$

$$b \xleftarrow{R} \{0,1\}$$

$$\leftarrow (m_0, m_1)$$

$$E_k(m_b) \rightarrow$$

Adversary $\mathcal{A}(1^n)$

Output b'

- $\mathcal{A}$ chooses a test m_0, m_1 and tries to distinguish $E_k(m_0)$ from $E_k(m_1)$
- It is always possible to guess b with probability $\frac{1}{2}$
- Security: For any PPT adversary $\mathcal{A}$,

$$\Pr[b' = b] \leq \frac{1}{2} + \text{neg}(n)$$

Ciphertext Indistinguishability: Alternative Formulation

Challenger

$$k \xleftarrow{R} \{0,1\}^n$$

$$b \xleftarrow{R} \{0,1\}$$

$$\leftarrow (m_0, m_1)$$

$$E_k(m_b) \rightarrow$$

Adversary $\mathcal{A}(1^n)$

Output b'

- $\mathcal{A}$ chooses a test m_0, m_1 and tries to distinguish $E_k(m_0)$ from $E_k(m_1)$
- It is always possible to guess b with probability $\frac{1}{2}$
- Security: For any PPT adversary $\mathcal{A}$,

$$\Pr[b' = b] \leq \frac{1}{2} + \text{neg}(n)$$

- Exercise: Prove equivalence to the original one.

Indistinguishability under Chosen Plaintext Attack

Challenger

$$k \xleftarrow{R} \{0,1\}^n$$

$$b \xleftarrow{R} \{0,1\}$$

$$\leftarrow x_1$$

$$E_k(x_1) \rightarrow$$

$$\leftarrow x_2$$

$$E_k(x_2) \rightarrow$$

...

$$\leftarrow (m_0, m_1)$$

$$E_k(m_b) \rightarrow$$

Adversary $\mathcal{A}(1^n)$

Output b'

Indistinguishability under Chosen Plaintext Attack

Challenger

$$k \xleftarrow{R} \{0,1\}^n$$

$$b \xleftarrow{R} \{0,1\}$$

$$\leftarrow x_1$$

$$E_k(x_1) \rightarrow$$

$$\leftarrow x_2$$

$$E_k(x_2) \rightarrow$$

...

$$\leftarrow (m_0, m_1)$$

$$E_k(m_b) \rightarrow$$

Adversary $\mathcal{A}(1^n)$

Output b'

The game has two phases:

Indistinguishability under Chosen Plaintext Attack

Challenger

$$k \xleftarrow{R} \{0,1\}^n$$

$$b \xleftarrow{R} \{0,1\}$$

$$\leftarrow x_1$$

$$E_k(x_1) \rightarrow$$

$$\leftarrow x_2$$

$$E_k(x_2) \rightarrow$$

...

$$\leftarrow (m_0, m_1)$$

$$E_k(m_b) \rightarrow$$

Adversary $\mathcal{A}(1^n)$

Output b'

The game has two phases:

- 1 $\mathcal{A}$ is allowed to **adaptively choose many encryptions**

Indistinguishability under Chosen Plaintext Attack

Challenger

$$k \xleftarrow{R} \{0,1\}^n$$

$$b \xleftarrow{R} \{0,1\}$$

$$\leftarrow x_1$$

$$E_k(x_1) \rightarrow$$

$$\leftarrow x_2$$

$$E_k(x_2) \rightarrow$$

...

$$\leftarrow (m_0, m_1)$$

$$E_k(m_b) \rightarrow$$

Adversary $\mathcal{A}(1^n)$

Output b'

The game has two phases:

- 1 $\mathcal{A}$ is allowed to **adaptively choose many encryptions**
- 2 $\mathcal{A}$ chooses a test m_0, m_1 and tries to distinguish $E_k(m_0)$ from $E_k(m_1)$

Chosen Plaintext Security

Challenger

$$k \xleftarrow{R} \{0,1\}^n$$

$$b \xleftarrow{R} \{0,1\}$$

$$\leftarrow x_1$$

$$E_k(x_1) \rightarrow$$

$$\leftarrow x_2$$

$$E_k(x_2) \rightarrow$$

...

$$\leftarrow (m_0, m_1)$$

$$E_k(m_b) \rightarrow$$

Adversary $\mathcal{A}(1^n)$

Output b'

Chosen Plaintext Security

Challenger

$$k \xleftarrow{R} \{0,1\}^n$$

$$b \xleftarrow{R} \{0,1\}$$

$$\leftarrow x_1$$

$$E_k(x_1) \rightarrow$$

$$\leftarrow x_2$$

$$E_k(x_2) \rightarrow$$

...

$$\leftarrow (m_0, m_1)$$

$$E_k(m_b) \rightarrow$$

Adversary $\mathcal{A}(1^n)$

Output b'

Security: For every PPT adversary $\Pr[b = b'] \leq \frac{1}{2} + \text{neg}(n)$

Chosen Plaintext Security

Challenger

$$k \xleftarrow{R} \{0,1\}^n$$

$$b \xleftarrow{R} \{0,1\}$$

$$\leftarrow x_1$$

$$E_k(x_1) \rightarrow$$

$$\leftarrow x_2$$

$$E_k(x_2) \rightarrow$$

...

$$\leftarrow (m_0, m_1)$$

$$E_k(m_b) \rightarrow$$

Adversary $\mathcal{A}(1^n)$

Output b'

Security: For every PPT adversary $\Pr[b = b'] \leq \frac{1}{2} + \text{neg}(n)$

- It is always possible to guess b with probability $\frac{1}{2}$

Chosen Plaintext Security

Challenger

$$k \xleftarrow{R} \{0,1\}^n$$

$$b \xleftarrow{R} \{0,1\}$$

$$\leftarrow x_1$$

$$E_k(x_1) \rightarrow$$

$$\leftarrow x_2$$

$$E_k(x_2) \rightarrow$$

...

$$\leftarrow (m_0, m_1)$$

$$E_k(m_b) \rightarrow$$

Adversary $\mathcal{A}(1^n)$

Output b'

Security: For every PPT adversary $\Pr[b = b'] \leq \frac{1}{2} + \text{neg}(n)$

- It is always possible to guess b with probability $\frac{1}{2}$
- The adversary cannot do much better !

Why do we need such a strong definition?

- Is it reasonable to assume that the adversary has an access to an Encryption Oracle ?

Why do we need such a strong definition?

- Is it reasonable to assume that the adversary has an access to an **Encryption Oracle** ?
- History: Yes!

Why do we need such a strong definition?

- Is it reasonable to assume that the adversary has an access to an **Encryption Oracle** ?
- History: Yes!
- Example: Servers may communicate via encryption but (dishonest) users can control the actual requests that are being transferred

Why do we need such a strong definition?

- Is it reasonable to assume that the adversary has an access to an **Encryption Oracle** ?
- History: Yes!
- Example: Servers may communicate via encryption but (dishonest) users can control the actual requests that are being transferred
- Remark: One can define an intermediate notion (**Ciphertext Indistinguishability for Multiple Messages**) which is weaker than **CPA security** but stronger than **Ciphertext Indistinguishability for a single Message**.

Why do we need such a strong definition?

- Is it reasonable to assume that the adversary has an access to an **Encryption Oracle** ?
- History: Yes!
- Example: Servers may communicate via encryption but (dishonest) users can control the actual requests that are being transferred
- Remark: One can define an intermediate notion (**Ciphertext Indistinguishability for Multiple Messages**) which is weaker than **CPA security** but stronger than **Ciphertext Indistinguishability for a single Message**.
- Ex: Try to formalize it and prove that it's indeed strictly weaker than CPA and strictly stronger than CI for a single message.

Is CPA security realizable?

Is CPA security realizable?

Theorem

*If the encryption algorithm is a deterministic function $E_k(m)$ then it is **insecure** under chosen plaintext attacks (even if the adversary makes only one CPA query).*

Is CPA security realizable?

Theorem

*If the encryption algorithm is a deterministic function $E_k(m)$ then it is **insecure** under chosen plaintext attacks (even if the adversary makes only one CPA query).*

How can you prove it?

Is CPA security realizable?

Theorem

*If the encryption algorithm is a deterministic function $E_k(m)$ then it is **insecure** under chosen plaintext attacks (even if the adversary makes only one CPA query).*

How can you prove it?

Does it mean that security under multiple messages **cannot** be achieved?

Is CPA security realizable?

Theorem

*If the encryption algorithm is a deterministic function $E_k(m)$ then it is **insecure** under chosen plaintext attacks (even if the adversary makes only one CPA query).*

How can you prove it?

Does it mean that security under multiple messages **cannot** be achieved?

Q: How to bypass the limitation?

Is CPA security realizable?

Theorem

*If the encryption algorithm is a deterministic function $E_k(m)$ then it is **insecure** under chosen plaintext attacks (even if the adversary makes only one CPA query).*

How can you prove it?

Does it mean that security under multiple messages **cannot** be achieved?

Q: How to bypass the limitation?

Sol1: **Randomized encryption**

Sol2: **Stateful encryption**

Encrypting via Ideal Cipher

- Suppose that Alice and Bob share a truly random function

$$R : \{0, 1\}^n \rightarrow \{0, 1\}^n.$$

- ▶ For each input $x \in \{0, 1\}^n$ choose $R(x) \xleftarrow{R} \{0, 1\}^n$.

Encrypting via Ideal Cipher

- Suppose that Alice and Bob share a truly random function

$$R : \{0, 1\}^n \rightarrow \{0, 1\}^n.$$

► For each input $x \in \{0, 1\}^n$ choose $R(x) \xleftarrow{R} \{0, 1\}^n$.

- How can we encrypt?

Encrypting via Ideal Cipher

- Suppose that Alice and Bob share a truly random function

$$R : \{0, 1\}^n \rightarrow \{0, 1\}^n.$$

- ▶ For each input $x \in \{0, 1\}^n$ choose $R(x) \xleftarrow{R} \{0, 1\}^n$.
- How can we encrypt? Encrypt a message m by $R(m)$.

Encrypting via Ideal Cipher

- Suppose that Alice and Bob share a truly random function

$$R : \{0, 1\}^n \rightarrow \{0, 1\}^n.$$

- ▶ For each input $x \in \{0, 1\}^n$ choose $R(x) \xleftarrow{R} \{0, 1\}^n$.
- How can we encrypt? Encrypt a message m by $R(m)$.
- Decryption?

Encrypting via Ideal Cipher

- Suppose that Alice and Bob share a truly random function

$$R : \{0, 1\}^n \rightarrow \{0, 1\}^n.$$

- ▶ For each input $x \in \{0, 1\}^n$ choose $R(x) \xleftarrow{R} \{0, 1\}^n$.
- How can we encrypt? Encrypt a message m by $R(m)$.
- Decryption?
- Let's further assume that R is invertible, or even a permutation, hence $R^{-1} : \{0, 1\}^n \rightarrow \{0, 1\}^n$ is used for decryption.

Encrypting via Ideal Cipher

- Suppose that Alice and Bob share a truly random function

$$R : \{0, 1\}^n \rightarrow \{0, 1\}^n.$$

- ▶ For each input $x \in \{0, 1\}^n$ choose $R(x) \xleftarrow{R} \{0, 1\}^n$.
- How can we encrypt? Encrypt a message m by $R(m)$.
- Decryption?
- Let's further assume that R is invertible, or even a permutation, hence $R^{-1} : \{0, 1\}^n \rightarrow \{0, 1\}^n$ is used for decryption.
- Security?

Encrypting via Ideal Cipher

- Suppose that Alice and Bob share a truly random function

$$R : \{0, 1\}^n \rightarrow \{0, 1\}^n.$$

- ▶ For each input $x \in \{0, 1\}^n$ choose $R(x) \xleftarrow{R} \{0, 1\}^n$.
- How can we encrypt? Encrypt a message m by $R(m)$.
- Decryption?
- Let's further assume that R is invertible, or even a permutation, hence $R^{-1} : \{0, 1\}^n \rightarrow \{0, 1\}^n$ is used for decryption.
- Security?
- OK for (single-message) "Ciphertext Indistinguishability"

Encrypting via Ideal Cipher

- Suppose that Alice and Bob share a truly random function

$$R : \{0, 1\}^n \rightarrow \{0, 1\}^n.$$

- ▶ For each input $x \in \{0, 1\}^n$ choose $R(x) \xleftarrow{R} \{0, 1\}^n$.
- How can we encrypt? Encrypt a message m by $R(m)$.
- Decryption?
- Let's further assume that R is invertible, or even a permutation, hence $R^{-1} : \{0, 1\}^n \rightarrow \{0, 1\}^n$ is used for decryption.
- Security?
- OK for (single-message) "Ciphertext Indistinguishability"
- How to achieve CPA security?

Encrypting via Ideal Cipher

- Suppose that Alice and Bob share a truly random function

$$R : \{0, 1\}^n \rightarrow \{0, 1\}^n.$$

- ▶ For each input $x \in \{0, 1\}^n$ choose $R(x) \xleftarrow{R} \{0, 1\}^n$.
- How can we encrypt? Encrypt a message m by $R(m)$.
- Decryption?
- Let's further assume that R is invertible, or even a permutation, hence $R^{-1} : \{0, 1\}^n \rightarrow \{0, 1\}^n$ is used for decryption.
- Security?
- OK for (single-message) "Ciphertext Indistinguishability"
- How to achieve CPA security? Randomize the message !

CPA Security from Random Permutation

(Inefficient) Construction

Encrypt m : choose $r \xleftarrow{R} \{0, 1\}^n$ and output $(r, F(r \oplus m))$

Decrypt (r, c) compute $r \oplus F^{-1}(c)$.

CPA Security from Random Permutation

(Inefficient) Construction

Encrypt m : choose $r \xleftarrow{R} \{0, 1\}^n$ and output $(r, F(r \oplus m))$

Decrypt (r, c) compute $r \oplus F^{-1}(c)$.

Theorem

If F is random the scheme is CPA secure.

CPA Security from Random Permutation

(Inefficient) Construction

Encrypt m : choose $r \xleftarrow{R} \{0, 1\}^n$ and output $(r, F(r \oplus m))$

Decrypt (r, c) compute $r \oplus F^{-1}(c)$.

CPA Security from Random Permutation

(Inefficient) Construction

Encrypt m : choose $r \xleftarrow{R} \{0, 1\}^n$ and output $(r, F(r \oplus m))$

Decrypt (r, c) compute $r \oplus F^{-1}(c)$.

Proof.

The adversary makes at most $t = \text{poly}(n)$ queries.

The i -th query x_i is encrypted by $(r_i, c_i = F(x_i \oplus r_i))$.

The challenge m_b is encrypted by $(r_*, c_* = F(m_b \oplus r_*))$.

CPA Security from Random Permutation

(Inefficient) Construction

Encrypt m : choose $r \xleftarrow{R} \{0, 1\}^n$ and output $(r, F(r \oplus m))$

Decrypt (r, c) compute $r \oplus F^{-1}(c)$.

Proof.

The adversary makes at most $t = \text{poly}(n)$ queries.

The i -th query x_i is encrypted by $(r_i, c_i = F(x_i \oplus r_i))$.

The challenge m_b is encrypted by $(r_*, c_* = F(m_b \oplus r_*))$.

- **Good** event G : $(m_0 \oplus r_*)$ and $(m_1 \oplus r_*)$ not in $\{x_i \oplus r_i\}$

CPA Security from Random Permutation

(Inefficient) Construction

Encrypt m : choose $r \xleftarrow{R} \{0, 1\}^n$ and output $(r, F(r \oplus m))$

Decrypt (r, c) compute $r \oplus F^{-1}(c)$.

Proof.

The adversary makes at most $t = \text{poly}(n)$ queries.

The i -th query x_i is encrypted by $(r_i, c_i = F(x_i \oplus r_i))$.

The challenge m_b is encrypted by $(r_*, c_* = F(m_b \oplus r_*))$.

- **Good** event G : $(m_0 \oplus r_*)$ and $(m_1 \oplus r_*)$ not in $\{x_i \oplus r_i\}$
- $\Pr_{r^*}[G] \geq 1 - 2t/2^n = 1 - \text{neg}(n)$.

CPA Security from Random Permutation

(Inefficient) Construction

Encrypt m : choose $r \xleftarrow{R} \{0, 1\}^n$ and output $(r, F(r \oplus m))$

Decrypt (r, c) compute $r \oplus F^{-1}(c)$.

Proof.

The adversary makes at most $t = \text{poly}(n)$ queries.

The i -th query x_i is encrypted by $(r_i, c_i = F(x_i \oplus r_i))$.

The challenge m_b is encrypted by $(r_*, c_* = F(m_b \oplus r_*))$.

- **Good** event G : $(m_0 \oplus r_*)$ and $(m_1 \oplus r_*)$ not in $\{x_i \oplus r_i\}$
- $\Pr_{r^*}[G] \geq 1 - 2t/2^n = 1 - \text{neg}(n)$.
- If G happens, then conditioned on all seen ciphertexts,
 $(r_*, F(m_0 \oplus r_*)) \equiv (r_*, F(m_1 \oplus r_*))$.

CPA Security from Random Permutation

(Inefficient) Construction

Encrypt m : choose $r \xleftarrow{R} \{0, 1\}^n$ and output $(r, F(r \oplus m))$

Decrypt (r, c) compute $r \oplus F^{-1}(c)$.

Proof.

The adversary makes at most $t = \text{poly}(n)$ queries.

The i -th query x_i is encrypted by $(r_i, c_i = F(x_i \oplus r_i))$.

The challenge m_b is encrypted by $(r_*, c_* = F(m_b \oplus r_*))$.

- **Good** event G : $(m_0 \oplus r_*)$ and $(m_1 \oplus r_*)$ not in $\{x_i \oplus r_i\}$
- $\Pr_{r^*}[G] \geq 1 - 2t/2^n = 1 - \text{neg}(n)$.
- If G happens, then conditioned on all seen ciphertexts,
 $(r_*, F(m_0 \oplus r_*)) \equiv (r_*, F(m_1 \oplus r_*))$.

CPA Security from Random Permutation

(Inefficient) Construction

Encrypt m : choose $r \xleftarrow{R} \{0, 1\}^n$ and output $(r, F(r \oplus m))$

Decrypt (r, c) compute $r \oplus F^{-1}(c)$.

Proof.

The adversary makes at most $t = \text{poly}(n)$ queries.

The i -th query x_i is encrypted by $(r_i, c_i = F(x_i \oplus r_i))$.

The challenge m_b is encrypted by $(r_*, c_* = F(m_b \oplus r_*))$.

- **Good** event G : $(m_0 \oplus r_*)$ and $(m_1 \oplus r_*)$ not in $\{x_i \oplus r_i\}$
- $\Pr_{r^*}[G] \geq 1 - 2t/2^n = 1 - \text{neg}(n)$.
- If G happens, then conditioned on all seen ciphertexts,
 $(r_*, F(m_0 \oplus r_*)) \equiv (r_*, F(m_1 \oplus r_*))$.

Overall, the winning probability is upper-bounded by

$$\Pr[\text{win} | G] \Pr[G] + \Pr[\bar{G}] \leq \frac{1}{2} + \text{neg}(n).$$



Pseudorandom Functions (Reminder)

Given a black-box access to the function, it's infeasible to distinguish
random function from pseudorandom function.

Pseudorandom Functions (Reminder)

Given a black-box access to the function, it's infeasible to distinguish **random function** from **pseudorandom function**.

PRF

Let $k \xleftarrow{R} \{0, 1\}^n$

Given x output $y = F_k(x)$

$\equiv$

Random Function

Choose random function

$$R : \{0, 1\}^n \rightarrow \{0, 1\}^n$$

Given x output $y = R(x)$

Pseudorandom Functions (Reminder)

Given a black-box access to the function, it's infeasible to distinguish **random function** from **pseudorandom function**.

PRF

Let $k \xleftarrow{R} \{0, 1\}^n$

Given x output $y = F_k(x)$

$\equiv$

Random Function

Choose random function

$$R : \{0, 1\}^n \rightarrow \{0, 1\}^n$$

Given x output $y = R(x)$

PPT Adversary can't distinguish with more than negligible probability.

CPA Security from Pseudorandom Permutation

Construction

Encrypt m : choose $r \xleftarrow{R} \{0, 1\}^n$ and output $(r, F_k(r \oplus m))$

Decrypt (r, c) compute $r \oplus F_k^{-1}(c)$.

CPA Security from Pseudorandom Permutation

Construction

Encrypt m : choose $r \xleftarrow{R} \{0, 1\}^n$ and output $(r, F_k(r \oplus m))$

Decrypt (r, c) compute $r \oplus F_k^{-1}(c)$.

Theorem

If F is pseudorandom permutation the scheme is CPA secure.

CPA Security from PRP (Proof)

Construction

Encrypt m : choose $r \xleftarrow{R} \{0, 1\}^n$ and output $(r, F_k(r \oplus m))$

Decrypt (r, c) compute $r \oplus F_k^{-1}(c)$.

Proof by reduction: Convert a CPA attacker $\mathcal{A}$ with success probability $\frac{1}{2} + \epsilon$ into an ϵ' -distinguisher $\mathcal{B}$ for the PRP.

CPA Security from PRP (Proof)

Construction

Encrypt m : choose $r \xleftarrow{R} \{0, 1\}^n$ and output $(r, F_k(r \oplus m))$

Decrypt (r, c) compute $r \oplus F_k^{-1}(c)$.

Proof by reduction: Convert a CPA attacker $\mathcal{A}$ with success probability $\frac{1}{2} + \epsilon$ into an ϵ' -distinguisher $\mathcal{B}$ for the PRP.

Adversary $\mathcal{B}^G$ (G is either F_k or Random))

- Invoke $\mathcal{A}$

CPA Security from PRP (Proof)

Construction

Encrypt m : choose $r \xleftarrow{R} \{0, 1\}^n$ and output $(r, F_k(r \oplus m))$

Decrypt (r, c) compute $r \oplus F_k^{-1}(c)$.

Proof by reduction: Convert a CPA attacker $\mathcal{A}$ with success probability $\frac{1}{2} + \epsilon$ into an ϵ' -distinguisher $\mathcal{B}$ for the PRP.

Adversary $\mathcal{B}^G$ (G is either F_k or Random))

- Invoke $\mathcal{A}$
- Answer a query x_i with $(r_i \xleftarrow{R} \{0, 1\}^n, G(r_i \oplus x_i))$.

CPA Security from PRP (Proof)

Construction

Encrypt m : choose $r \xleftarrow{R} \{0, 1\}^n$ and output $(r, F_k(r \oplus m))$

Decrypt (r, c) compute $r \oplus F_k^{-1}(c)$.

Proof by reduction: Convert a CPA attacker $\mathcal{A}$ with success probability $\frac{1}{2} + \epsilon$ into an ϵ' -distinguisher $\mathcal{B}$ for the PRP.

Adversary $\mathcal{B}^G$ (G is either F_k or Random))

- Invoke $\mathcal{A}$
- Answer a query x_i with $(r_i \xleftarrow{R} \{0, 1\}^n, G(r_i \oplus x_i))$.
- Given (m_0, m_1) , send $(r^* \xleftarrow{R} \{0, 1\}^n, G(r^* \oplus m_b))$ where $b \xleftarrow{R} \{0, 1\}$.

CPA Security from PRP (Proof)

Construction

Encrypt m : choose $r \xleftarrow{R} \{0, 1\}^n$ and output $(r, F_k(r \oplus m))$

Decrypt (r, c) compute $r \oplus F_k^{-1}(c)$.

Proof by reduction: Convert a CPA attacker $\mathcal{A}$ with success probability $\frac{1}{2} + \epsilon$ into an ϵ' -distinguisher $\mathcal{B}$ for the PRP.

Adversary $\mathcal{B}^G$ (G is either F_k or Random))

- Invoke $\mathcal{A}$
- Answer a query x_i with $(r_i \xleftarrow{R} \{0, 1\}^n, G(r_i \oplus x_i))$.
- Given (m_0, m_1) , send $(r^* \xleftarrow{R} \{0, 1\}^n, G(r^* \oplus m_b))$ where $b \xleftarrow{R} \{0, 1\}$.
- Output 1 if $\mathcal{A}$'s guess b' equals to b .

CPA Security from PRP (Proof)

Construction

Encrypt m : choose $r \xleftarrow{R} \{0, 1\}^n$ and output $(r, F_k(r \oplus m))$

Decrypt (r, c) compute $r \oplus F_k^{-1}(c)$.

Proof by reduction: Convert a CPA attacker $\mathcal{A}$ with success probability $\frac{1}{2} + \epsilon$ into an ϵ' -distinguisher $\mathcal{B}$ for the PRP.

Adversary $\mathcal{B}^G$ (G is either F_k or Random)

- Invoke $\mathcal{A}$
- Answer a query x_i with $(r_i \xleftarrow{R} \{0, 1\}^n, G(r_i \oplus x_i))$.
- Given (m_0, m_1) , send $(r^* \xleftarrow{R} \{0, 1\}^n, G(r^* \oplus m_b))$ where $b \xleftarrow{R} \{0, 1\}$.
- Output 1 if $\mathcal{A}$'s guess b' equals to b .

CPA Security from PRP (Proof)

Construction

Encrypt m : choose $r \xleftarrow{R} \{0, 1\}^n$ and output $(r, F_k(r \oplus m))$

Decrypt (r, c) compute $r \oplus F_k^{-1}(c)$.

Proof by reduction: Convert a CPA attacker $\mathcal{A}$ with success probability $\frac{1}{2} + \epsilon$ into an ϵ' -distinguisher $\mathcal{B}$ for the PRP.

Adversary $\mathcal{B}^G$ (G is either F_k or Random)

- Invoke $\mathcal{A}$
- Answer a query x_i with $(r_i \xleftarrow{R} \{0, 1\}^n, G(r_i \oplus x_i))$.
- Given (m_0, m_1) , send $(r^* \xleftarrow{R} \{0, 1\}^n, G(r^* \oplus m_b))$ where $b \xleftarrow{R} \{0, 1\}$.
- Output 1 if $\mathcal{A}$'s guess b' equals to b .

$$\Pr_k[\mathcal{B}^{F_k} = 1] - \Pr[\mathcal{B}^{Rand} = 1] \geq (\frac{1}{2} + \epsilon) - (\frac{1}{2} + \text{neg}(n)) \geq \epsilon - \text{neg}(n).$$

CPA Security from Pseudorandom Function

Alternative Construction

Encrypt m : choose $r \xleftarrow{R} \{0, 1\}^n$ and output $(r, F_k(r) \oplus m)$

CPA Security from Pseudorandom Function

Alternative Construction

Encrypt m : choose $r \xleftarrow{R} \{0, 1\}^n$ and output $(r, F_k(r) \oplus m)$

Decrypt (r, c) compute $F_k(r) \oplus c$. (No need to invert F)

CPA Security from Pseudorandom Function

Alternative Construction

Encrypt m : choose $r \xleftarrow{R} \{0, 1\}^n$ and output $(r, F_k(r) \oplus m)$

Decrypt (r, c) compute $F_k(r) \oplus c$. (No need to invert F)

Exercise prove:

Theorem

If F is pseudorandom function the scheme is CPA secure.

How to encrypt long messages?

- Pseudorandom functions/permutations operate on blocks of fixed length (e.g., 128 bits).

How to encrypt long messages?

- Pseudorandom functions/permutations operate on blocks of fixed length (e.g., 128 bits).
- How to encrypt long messages ?

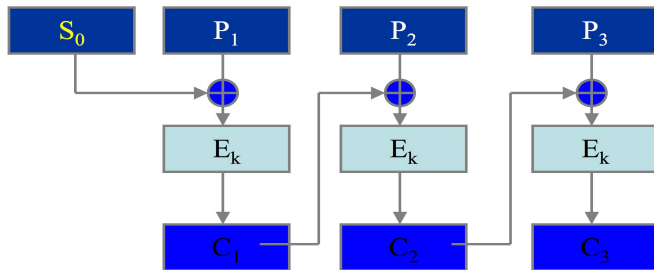
How to encrypt long messages?

- Pseudorandom functions/permutations operate on blocks of fixed length (e.g., 128 bits).
- How to encrypt long messages ?
- We can apply the previous constructions to each block separately but we'll get poor rate (ciphertext is twice as large as the message)

How to encrypt long messages?

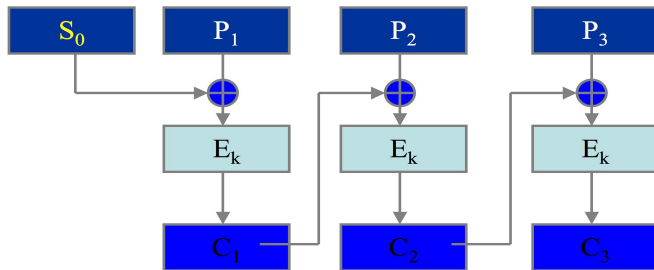
- Pseudorandom functions/permutations operate on blocks of fixed length (e.g., 128 bits).
- How to encrypt long messages ?
- We can apply the previous constructions to each block separately but we'll get poor rate (ciphertext is twice as large as the message)
- Is there a better solution?

CBC Mode Encryption



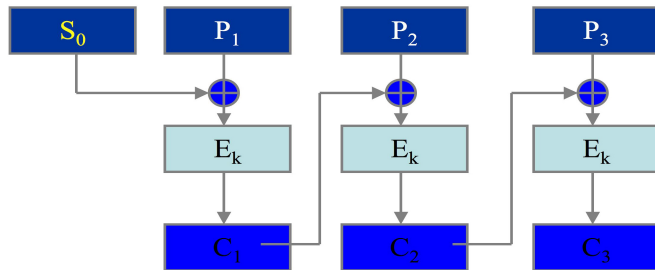
- E_k is a Pseudorandom permutation, P_i is the i -th block of the message, and S_0 is a random seed (aka **initialization vector** (IV)).

CBC Mode Encryption



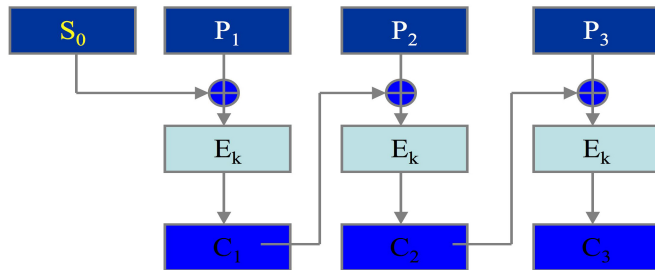
- E_k is a Pseudorandom permutation, P_i is the i -th block of the message, and S_0 is a random seed (aka **initialization vector** (IV)).
- The ciphertext is $(S_0, C_1, \dots, C_n)$, the rate tends to 1 for long messages.

CBC Mode Encryption



- E_k is a Pseudorandom permutation, P_i is the i -th block of the message, and S_0 is a random seed (aka **initialization vector** (IV)).
- The ciphertext is $(S_0, C_1, \dots, C_n)$, the rate tends to 1 for long messages.
- For a single block, we get the standard PRP-based Construction.

CBC Mode Encryption



- E_k is a Pseudorandom permutation, P_i is the i -th block of the message, and S_0 is a random seed (aka **initialization vector** (IV)).
- The ciphertext is $(S_0, C_1, \dots, C_n)$, the rate tends to 1 for long messages.
- For a single block, we get the standard PRP-based Construction.
- New message requires a freshly chosen random seed (why?)

Properties of CBC

Properties of CBC

Properties of CBC

- Encryption seems inherently sequential – no parallel implementation known.

Properties of CBC

- Encryption seems inherently sequential – no parallel implementation known.

Properties of CBC

- Encryption seems inherently sequential – no parallel implementation known.
- Decryption is parallel – can decrypt the i -th block directly

Properties of CBC

- Encryption seems inherently sequential – no parallel implementation known.
- Decryption is parallel – can decrypt the i -th block directly

Properties of CBC

- Encryption seems inherently sequential – no parallel implementation known.
- Decryption is parallel – can decrypt the i -th block directly
- **Standard** in most systems: SSL, IPsec, etc.

Properties of CBC

- Encryption seems inherently sequential – no parallel implementation known.
- Decryption is parallel – can decrypt the i -th block directly
- **Standard** in most systems: SSL, IPsec, etc.

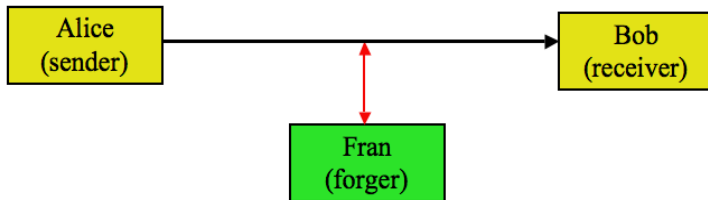
Security: It can be **proved** that if E is a pseudorandom permutation, then CBC is **resistant** to **chosen plaintext attacks** (CPA-secure).

Message Authentication Codes

Authentication – Goal

Ensure **integrity** of messages against an **active adversary**

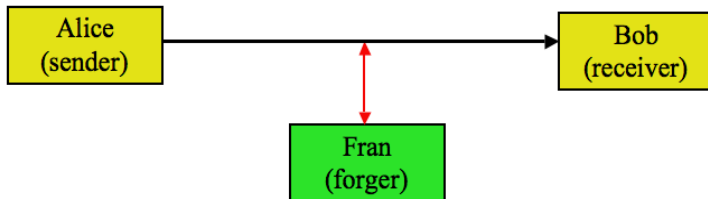
- Adversary hears previous genuine messages



Authentication – Goal

Ensure **integrity** of messages against an **active adversary**

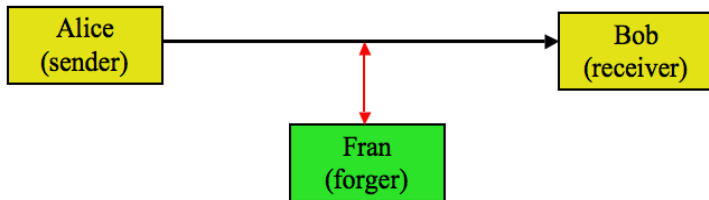
- Adversary hears previous genuine messages
- (May even influence the content of genuine messages)



Authentication – Goal

Ensure **integrity** of messages against an **active adversary**

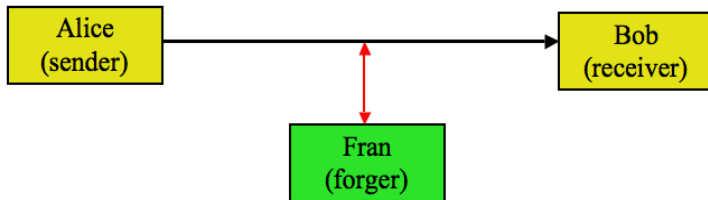
- Adversary hears previous genuine messages
- (May even influence the content of genuine messages)
- Then sends own **forged message(s)**.



Authentication – Goal

Ensure **integrity** of messages against an **active adversary**

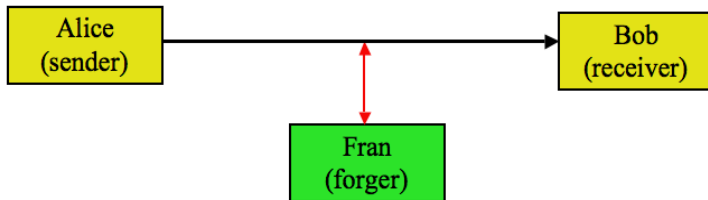
- Adversary hears previous genuine messages
- (May even influence the content of genuine messages)
- Then sends own **forged message(s)**.
- Bob (receiver) should be able to tell genuine messages from forged ones.



Authentication – Goal

Ensure **integrity** of messages against an **active adversary**

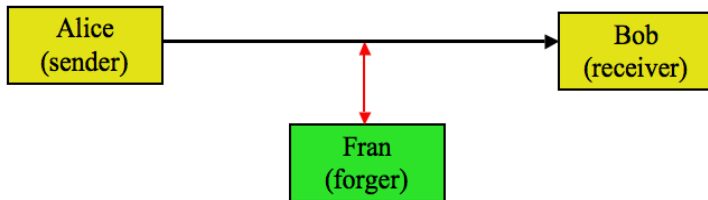
- Adversary hears previous genuine messages
- (May even influence the content of genuine messages)
- Then sends own **forged message(s)**.
- Bob (receiver) should be able to tell genuine messages from forged ones.



Authentication – Goal

Ensure **integrity** of messages against an **active adversary**

- Adversary hears previous genuine messages
- (May even influence the content of genuine messages)
- Then sends own **forged message(s)**.
- Bob (receiver) should be able to tell genuine messages from forged ones.



Important Remark: **Authentication** is orthogonal to **secrecy**. Secrecy alone usually **does not** guarantee integrity.

Sol: Message Authentication Code (MAC)

Idea: Alice and Bob share a secret key. Alice append to each message m an **authentication tag** $\text{MAC}_k(m) = \text{tag}$. Bob verifies authenticity by comparing $\text{MAC}_k(m)$ to tag .

Sol: Message Authentication Code (MAC)

Idea: Alice and Bob share a secret key. Alice append to each message m an **authentication tag** $\text{MAC}_k(m) = \text{tag}$. Bob verifies authenticity by comparing $\text{MAC}_k(m)$ to tag .

Definition (Message Authentication Code)

- Message space $\mathcal{M}$ (usually long binary strings, e.g., $\{0, 1\}^*$)

Sol: Message Authentication Code (MAC)

Idea: Alice and Bob share a secret key. Alice append to each message m an **authentication tag** $\text{MAC}_k(m) = \text{tag}$. Bob verifies authenticity by comparing $\text{MAC}_k(m)$ to tag .

Definition (Message Authentication Code)

- Message space $\mathcal{M}$ (usually long binary strings, e.g., $\{0, 1\}^*$)
- Secret authentication key – $k \in \{0, 1\}^n$

Sol: Message Authentication Code (MAC)

Idea: Alice and Bob share a secret key. Alice append to each message m an **authentication tag** $\text{MAC}_k(m) = \text{tag}$. Bob verifies authenticity by comparing $\text{MAC}_k(m)$ to tag .

Definition (Message Authentication Code)

- Message space $\mathcal{M}$ (usually long binary strings, e.g., $\{0, 1\}^*$)
- Secret authentication key – $k \in \{0, 1\}^n$
- Authentication algorithm – $\text{MAC}_k(m) \mapsto \text{tag}$

Sol: Message Authentication Code (MAC)

Idea: Alice and Bob share a secret key. Alice append to each message m an **authentication tag** $\text{MAC}_k(m) = \text{tag}$. Bob verifies authenticity by comparing $\text{MAC}_k(m)$ to tag .

Definition (Message Authentication Code)

- Message space $\mathcal{M}$ (usually long binary strings, e.g., $\{0, 1\}^*$)
- Secret authentication key – $k \in \{0, 1\}^n$
- Authentication algorithm – $\text{MAC}_k(m) \mapsto \text{tag}$
- Typically, $\text{tag} \in \{0, 1\}^\ell$ where ℓ is relatively short

Sol: Message Authentication Code (MAC)

Idea: Alice and Bob share a secret key. Alice append to each message m an **authentication tag** $\text{MAC}_k(m) = \text{tag}$. Bob verifies authenticity by comparing $\text{MAC}_k(m)$ to tag .

Definition (Message Authentication Code)

- Message space $\mathcal{M}$ (usually long binary strings, e.g., $\{0, 1\}^*$)
- Secret authentication key – $k \in \{0, 1\}^n$
- Authentication algorithm – $\text{MAC}_k(m) \mapsto \text{tag}$
- Typically, $\text{tag} \in \{0, 1\}^\ell$ where ℓ is relatively short

Sol: Message Authentication Code (MAC)

Idea: Alice and Bob share a secret key. Alice append to each message m an **authentication tag** $\text{MAC}_k(m) = \text{tag}$. Bob verifies authenticity by comparing $\text{MAC}_k(m)$ to tag .

Definition (Message Authentication Code)

- Message space $\mathcal{M}$ (usually long binary strings, e.g., $\{0, 1\}^*$)
- Secret authentication key – $k \in \{0, 1\}^n$
- Authentication algorithm – $\text{MAC}_k(m) \mapsto \text{tag}$
- Typically, $\text{tag} \in \{0, 1\}^\ell$ where ℓ is relatively short

Remark: the MAC function is **not** 1-to-1 (why?)

Sol: Message Authentication Code (MAC)

Idea: Alice and Bob share a secret key. Alice append to each message m an **authentication tag** $\text{MAC}_k(m) = \text{tag}$. Bob verifies authenticity by comparing $\text{MAC}_k(m)$ to tag .

Definition (Message Authentication Code)

- Message space $\mathcal{M}$ (usually long binary strings, e.g., $\{0, 1\}^*$)
- Secret authentication key – $k \in \{0, 1\}^n$
- Authentication algorithm – $\text{MAC}_k(m) \mapsto \text{tag}$
- Typically, $\text{tag} \in \{0, 1\}^\ell$ where ℓ is relatively short

Remark: the MAC function is **not** 1-to-1 (why?)

Security: Intuitively, should be hard to forge a valid tag even after seeing many legal tags

Security

Security

Definition (Existential Forgery under Chosen Plaintext Attack)

A MAC is secure if every PPT adversary $\mathcal{A}$ which is allowed to ask for polynomially-many legal pairs $(m_i, \text{MAC}_k(m_i))$ ($i = 1, 2, \dots, t$), outputs a **new** valid pair $(m, \text{MAC}_k(m))$ with no more than negligible probability.

Security

Definition (Existential Forgery under Chosen Plaintext Attack)

A MAC is secure if every PPT adversary $\mathcal{A}$ which is allowed to ask for polynomially-many legal pairs $(m_i, \text{MAC}_k(m_i))$ ($i = 1, 2, \dots, t$), outputs a **new** valid pair $(m, \text{MAC}_k(m))$ with no more than negligible probability.

- The probability is taken over the choice of a random key

Security

Definition (Existential Forgery under Chosen Plaintext Attack)

A MAC is secure if every PPT adversary $\mathcal{A}$ which is allowed to ask for polynomially-many legal pairs $(m_i, \text{MAC}_k(m_i))$ ($i = 1, 2, \dots, t$), outputs a **new** valid pair $(m, \text{MAC}_k(m))$ with no more than negligible probability.

- The probability is taken over the choice of a random key
- Adversary can **choose** the messages

Security

Definition (Existential Forgery under Chosen Plaintext Attack)

A MAC is secure if every PPT adversary $\mathcal{A}$ which is allowed to ask for polynomially-many legal pairs $(m_i, \text{MAC}_k(m_i))$ ($i = 1, 2, \dots, t$), outputs a **new** valid pair $(m, \text{MAC}_k(m))$ with no more than negligible probability.

- The probability is taken over the choice of a random key
- Adversary can **choose** the messages

Security

Definition (Existential Forgery under Chosen Plaintext Attack)

A MAC is secure if every PPT adversary $\mathcal{A}$ which is allowed to ask for polynomially-many legal pairs $(m_i, \text{MAC}_k(m_i))$ ($i = 1, 2, \dots, t$), outputs a **new** valid pair $(m, \text{MAC}_k(m))$ with no more than negligible probability.

- The probability is taken over the choice of a random key
- Adversary can **choose** the messages
- The adversary succeeds **even if** the message being forged is “**meaningless**”. The reason is that it is hard to predict what has and what does not have a meaning in an unknown context, and how will Bob, the receiver, react to such successful forgery.

Trivial Attacks

Definition (Existential Forgery under Chosen Plaintext Attack)

A MAC is secure if every PPT adversary $\mathcal{A}$ which is allowed to ask for polynomially-many legal pairs $(m_i, \text{MAC}_k(m_i))$ ($i = 1, 2, \dots, t$), outputs a **new** valid pair $(m, \text{MAC}_k(m))$ with no more than negligible probability.

Trivial Attacks

Definition (Existential Forgery under Chosen Plaintext Attack)

A MAC is secure if every PPT adversary $\mathcal{A}$ which is allowed to ask for polynomially-many legal pairs $(m_i, \text{MAC}_k(m_i))$ ($i = 1, 2, \dots, t$), outputs a **new** valid pair $(m, \text{MAC}_k(m))$ with no more than negligible probability.

- Guess the ℓ -bit tag of a message m – **success probability** $2^{-\ell}$.

Trivial Attacks

Definition (Existential Forgery under Chosen Plaintext Attack)

A MAC is secure if every PPT adversary $\mathcal{A}$ which is allowed to ask for polynomially-many legal pairs $(m_i, \text{MAC}_k(m_i))$ ($i = 1, 2, \dots, t$), outputs a **new** valid pair $(m, \text{MAC}_k(m))$ with no more than negligible probability.

- Guess the ℓ -bit tag of a message m – **success probability** $2^{-\ell}$.

Trivial Attacks

Definition (Existential Forgery under Chosen Plaintext Attack)

A MAC is secure if every PPT adversary $\mathcal{A}$ which is allowed to ask for polynomially-many legal pairs $(m_i, \text{MAC}_k(m_i))$ ($i = 1, 2, \dots, t$), outputs a **new** valid pair $(m, \text{MAC}_k(m))$ with no more than negligible probability.

- Guess the ℓ -bit tag of a message m – **success probability** $2^{-\ell}$.
- Guess the n -bit key and compute the tag a message m – **success probability** 2^{-n} .

Trivial Attacks

Definition (Existential Forgery under Chosen Plaintext Attack)

A MAC is secure if every PPT adversary $\mathcal{A}$ which is allowed to ask for polynomially-many legal pairs $(m_i, \text{MAC}_k(m_i))$ ($i = 1, 2, \dots, t$), outputs a **new** valid pair $(m, \text{MAC}_k(m))$ with no more than negligible probability.

- Guess the ℓ -bit tag of a message m – **success probability** $2^{-\ell}$.
- Guess the n -bit key and compute the tag a message m – **success probability** 2^{-n} .
- **Conclusion:** key and tag should not be too short

MACs for Short Messages

MACs for Short Messages

- What would Shannon do?

MACs for Short Messages

- What would Shannon do?

MACs for Short Messages

- What would Shannon do?
- **Claim:** If $\text{MAC} : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ is a random function then it cannot be broken with probability better than $2^{-\ell}$ (even if the adversary is computationally unbounded).

MACs for Short Messages

- What would Shannon do?
- **Claim:** If $\text{MAC} : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ is a random function then it cannot be broken with probability better than $2^{-\ell}$ (even if the adversary is computationally unbounded).

MACs for Short Messages

- What would Shannon do?
- **Claim:** If $\text{MAC} : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ is a random function then it cannot be broken with probability better than $2^{-\ell}$ (even if the adversary is computationally unbounded). Can you see why?

MACs for Short Messages

- What would Shannon do?
- **Claim:** If $\text{MAC} : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ is a random function then it cannot be broken with probability better than $2^{-\ell}$ (even if the adversary is computationally unbounded). Can you see why?
- In a computational setting can use **pseudorandom function**

MACs for Short Messages

- What would Shannon do?
- **Claim:** If $\text{MAC} : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ is a random function then it cannot be broken with probability better than $2^{-\ell}$ (even if the adversary is computationally unbounded). Can you see why?
- In a computational setting can use **pseudorandom function**

MACs for Short Messages

- What would Shannon do?
- **Claim:** If $\text{MAC} : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ is a random function then it cannot be broken with probability better than $2^{-\ell}$ (even if the adversary is computationally unbounded). Can you see why?
- In a computational setting can use **pseudorandom function**
- **Theorem:** A PRF is a secure MAC.

MACs for Short Messages

- What would Shannon do?
- **Claim:** If $\text{MAC} : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ is a random function then it cannot be broken with probability better than $2^{-\ell}$ (even if the adversary is computationally unbounded). Can you see why?
- In a computational setting can use **pseudorandom function**
- **Theorem:** A PRF is a secure MAC.
- **Proof idea:** If the PRF was truly random function then hard to forge, hence an adversary that breaks the MAC allows to distinguish the PRF from truly random function.

MACs for Short Messages

- What would Shannon do?
- **Claim:** If $\text{MAC} : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ is a random function then it cannot be broken with probability better than $2^{-\ell}$ (even if the adversary is computationally unbounded). Can you see why?
- In a computational setting can use **pseudorandom function**
- **Theorem:** A PRF is a secure MAC.
- **Proof idea:** If the PRF was truly random function then hard to forge, hence an adversary that breaks the MAC allows to distinguish the PRF from truly random function.

MACs for Short Messages

- What would Shannon do?
- **Claim:** If $\text{MAC} : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ is a random function then it cannot be broken with probability better than $2^{-\ell}$ (even if the adversary is computationally unbounded). Can you see why?
- In a computational setting can use **pseudorandom function**
- **Theorem:** A PRF is a secure MAC.
- **Proof idea:** If the PRF was truly random function then hard to forge, hence an adversary that breaks the MAC allows to distinguish the PRF from truly random function.
- **Problem:** PRFs are defined for a fixed length (“block”), but we would like to support **long** messages!

MACs for Short Messages

- What would Shannon do?
- **Claim:** If $\text{MAC} : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ is a random function then it cannot be broken with probability better than $2^{-\ell}$ (even if the adversary is computationally unbounded). Can you see why?
- In a computational setting can use **pseudorandom function**
- **Theorem:** A PRF is a secure MAC.
- **Proof idea:** If the PRF was truly random function then hard to forge, hence an adversary that breaks the MAC allows to distinguish the PRF from truly random function.
- **Problem:** PRFs are defined for a fixed length (“block”), but we would like to support **long** messages!

MACs for Short Messages

- What would Shannon do?
- **Claim:** If $\text{MAC} : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ is a random function then it cannot be broken with probability better than $2^{-\ell}$ (even if the adversary is computationally unbounded). Can you see why?
- In a computational setting can use **pseudorandom function**
- **Theorem:** A PRF is a secure MAC.
- **Proof idea:** If the PRF was truly random function then hard to forge, hence an adversary that breaks the MAC allows to distinguish the PRF from truly random function.
- **Problem:** PRFs are defined for a fixed length (“block”), but we would like to support **long** messages!

How to authenticate Long Messages?

Let $F_k : \{0, 1\}^n \rightarrow \{0, 1\}^n$ be a pseudorandom function.

How to authenticate Long Messages?

Let $F_k : \{0, 1\}^n \rightarrow \{0, 1\}^n$ be a pseudorandom function.

Suggestions: Define $\text{MAC}_k(M_1, \dots, M_\ell)$ as:

How to authenticate Long Messages?

Let $F_k : \{0, 1\}^n \rightarrow \{0, 1\}^n$ be a pseudorandom function.

Suggestions: Define $\text{MAC}_k(M_1, \dots, M_\ell)$ as:

How to authenticate Long Messages?

Let $F_k : \{0, 1\}^n \rightarrow \{0, 1\}^n$ be a pseudorandom function.

Suggestions: Define $\text{MAC}_k(M_1, \dots, M_\ell)$ as:

- $(F_k(M_1), \dots, F_k(M_\ell))$

How to authenticate Long Messages?

Let $F_k : \{0, 1\}^n \rightarrow \{0, 1\}^n$ be a pseudorandom function.

Suggestions: Define $\text{MAC}_k(M_1, \dots, M_\ell)$ as:

- $(F_k(M_1), \dots, F_k(M_\ell))$

How to authenticate Long Messages?

Let $F_k : \{0, 1\}^n \rightarrow \{0, 1\}^n$ be a pseudorandom function.

Suggestions: Define $\text{MAC}_k(M_1, \dots, M_\ell)$ as:

- $(F_k(M_1), \dots, F_k(M_\ell))$
- $(F_k(1, M_1), \dots, F_k(\ell, M_\ell))$.

How to authenticate Long Messages?

Let $F_k : \{0, 1\}^n \rightarrow \{0, 1\}^n$ be a pseudorandom function.

Suggestions: Define $\text{MAC}_k(M_1, \dots, M_\ell)$ as:

- $(F_k(M_1), \dots, F_k(M_\ell))$
- $(F_k(1, M_1), \dots, F_k(\ell, M_\ell))$.

How to authenticate Long Messages?

Let $F_k : \{0, 1\}^n \rightarrow \{0, 1\}^n$ be a pseudorandom function.

Suggestions: Define $\text{MAC}_k(M_1, \dots, M_\ell)$ as:

- $(F_k(M_1), \dots, F_k(M_\ell))$
- $(F_k(1, M_1), \dots, F_k(\ell, M_\ell))$.
- $(r, F_k(r, 1, M_1), \dots, F_k(r, \ell, M_\ell))$, where $r \xleftarrow{R} \{0, 1\}^{n/3}$.

How to authenticate Long Messages?

Let $F_k : \{0, 1\}^n \rightarrow \{0, 1\}^n$ be a pseudorandom function.

Suggestions: Define $\text{MAC}_k(M_1, \dots, M_\ell)$ as:

- $(F_k(M_1), \dots, F_k(M_\ell))$
- $(F_k(1, M_1), \dots, F_k(\ell, M_\ell))$.
- $(r, F_k(r, 1, M_1), \dots, F_k(r, \ell, M_\ell))$, where $r \xleftarrow{R} \{0, 1\}^{n/3}$.

How to authenticate Long Messages?

Let $F_k : \{0, 1\}^n \rightarrow \{0, 1\}^n$ be a pseudorandom function.

Suggestions: Define $\text{MAC}_k(M_1, \dots, M_\ell)$ as:

- $(F_k(M_1), \dots, F_k(M_\ell))$
- $(F_k(1, M_1), \dots, F_k(\ell, M_\ell))$.
- $(\textcolor{red}{r}, F_k(\textcolor{red}{r}, 1, M_1), \dots, F_k(\textcolor{red}{r}, \ell, M_\ell))$, where $\textcolor{red}{r} \xleftarrow{R} \{0, 1\}^{n/3}$.
- $(\textcolor{red}{r}, F_k(\textcolor{red}{r}, 1, M_1, \textcolor{blue}{\ell}), \dots, F_k(\textcolor{red}{r}, \ell, M_\ell), \textcolor{blue}{\ell}))$, where $\textcolor{red}{r} \xleftarrow{R} \{0, 1\}^{n/4}$.

How to authenticate Long Messages?

Let $F_k : \{0, 1\}^n \rightarrow \{0, 1\}^n$ be a pseudorandom function.

Suggestions: Define $\text{MAC}_k(M_1, \dots, M_\ell)$ as:

- $(F_k(M_1), \dots, F_k(M_\ell))$
- $(F_k(1, M_1), \dots, F_k(\ell, M_\ell))$.
- $(r, F_k(r, 1, M_1), \dots, F_k(r, \ell, M_\ell))$, where $r \xleftarrow{R} \{0, 1\}^{n/3}$.
- $(r, F_k(r, 1, M_1, \ell), \dots, F_k(r, \ell, M_\ell), \ell)$, where $r \xleftarrow{R} \{0, 1\}^{n/4}$.

Thm: (only) the last construction is secure !

Ex: Prove it.

How to authenticate Long Messages?

Let $F_k : \{0, 1\}^n \rightarrow \{0, 1\}^n$ be a pseudorandom function.

Suggestions: Define $\text{MAC}_k(M_1, \dots, M_\ell)$ as:

- $(F_k(M_1), \dots, F_k(M_\ell))$
- $(F_k(1, M_1), \dots, F_k(\ell, M_\ell))$.
- $(r, F_k(r, 1, M_1), \dots, F_k(r, \ell, M_\ell))$, where $r \xleftarrow{R} \{0, 1\}^{n/3}$.
- $(r, F_k(r, 1, M_1, \ell), \dots, F_k(r, \ell, M_\ell), \ell)$, where $r \xleftarrow{R} \{0, 1\}^{n/4}$.

Thm: (only) the last construction is secure !

Ex: Prove it.

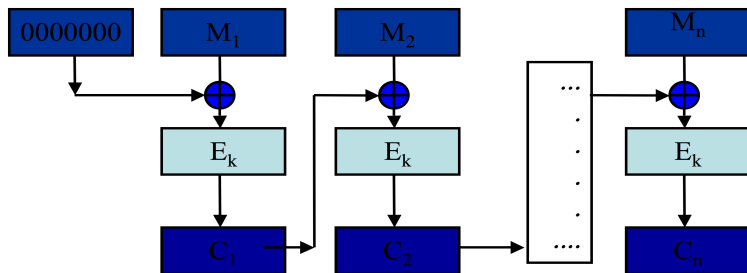
Problem: Impractical due to large communication overhead!

MACs for Long Messages

We will describe an efficient approach based on **CBC Mode**, there is an alternative solution (HMAC) based on **cryptographic hash** functions.

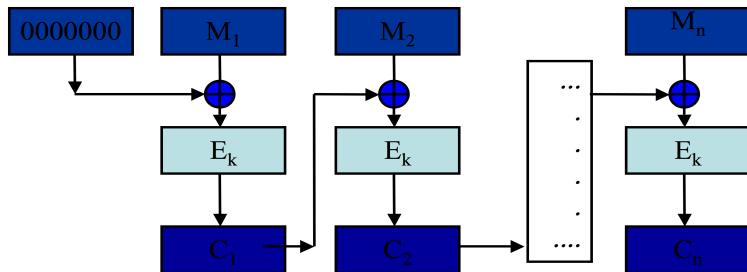
CBC Mode MACs

- Start with the **all zero** seed.



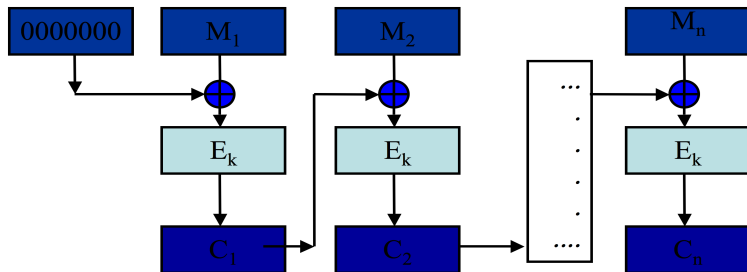
CBC Mode MACs

- Start with the **all zero** seed.
- Given a message consisting of n blocks, $M_1, M_2, \dots, M_n$, apply CBC mode **encryption** (using the secret key k).



CBC Mode MACs

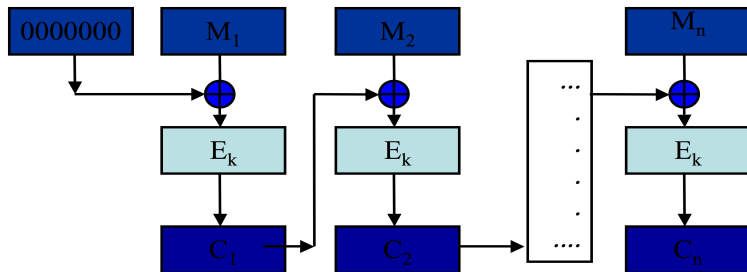
- Start with the **all zero** seed.
- Given a message consisting of n blocks, $M_1, M_2, \dots, M_n$, apply CBC mode **encryption** (using the secret key k).



- Produce n "ciphertext" blocks, $C_1, C_2, \dots, C_n$.

CBC Mode MACs

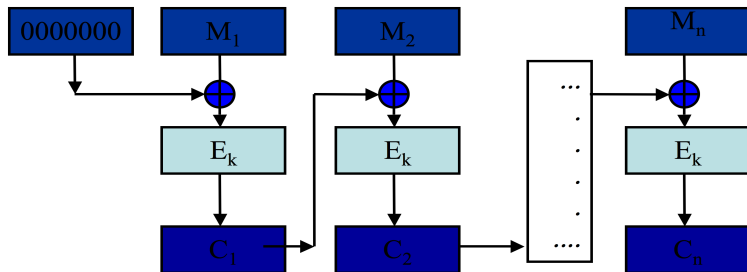
- Start with the **all zero** seed.
- Given a message consisting of n blocks, $M_1, M_2, \dots, M_n$, apply CBC mode **encryption** (using the secret key k).



- Produce n "ciphertext" blocks, $C_1, C_2, \dots, C_n$.
- Discard first $n - 1$ blocks.

CBC Mode MACs

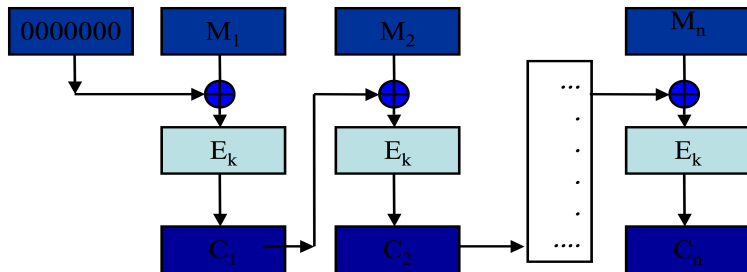
- Start with the **all zero** seed.
- Given a message consisting of n blocks, $M_1, M_2, \dots, M_n$, apply CBC mode **encryption** (using the secret key k).



- Produce n "ciphertext" blocks, $C_1, C_2, \dots, C_n$.
- Discard first $n - 1$ blocks.
- Send $M_1, M_2, \dots, M_n$ and the **tag** $\text{MAC}_k(M) = C_n$.

CBC Mode MACs

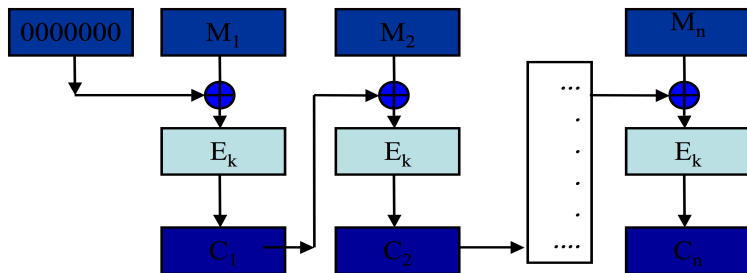
- Start with the **all zero** seed.
- Given a message consisting of n blocks, $M_1, M_2, \dots, M_n$, apply CBC mode **encryption** (using the secret key k).



- Produce n "ciphertext" blocks, $C_1, C_2, \dots, C_n$.
- Discard first $n - 1$ blocks.
- Send $M_1, M_2, \dots, M_n$ and the **tag** $\text{MAC}_k(M) = C_n$.

CBC Mode MACs

- Start with the **all zero** seed.
- Given a message consisting of n blocks, $M_1, M_2, \dots, M_n$, apply CBC mode **encryption** (using the secret key k).



- Produce n "ciphertext" blocks, $C_1, C_2, \dots, C_n$.
- Discard first $n - 1$ blocks.
- Send $M_1, M_2, \dots, M_n$ and the **tag** $\text{MAC}_k(M) = C_n$.

Q: Can we replace the all-zero seed with a random public string?

Security of Fixed Length CBC MAC [BKR, 2000]

- **Theorem:** If E_k is a pseudorandom function, then the **fixed length** CBC MAC is resilient to forgery when authenticating messages of the **same length**, n .

Security of Fixed Length CBC MAC [BKR, 2000]

- **Theorem:** If E_k is a pseudorandom function, then the **fixed length** CBC MAC is resilient to forgery when authenticating messages of the **same length**, n .

Security of Fixed Length CBC MAC [BKR, 2000]

- **Theorem:** If E_k is a pseudorandom function, then the **fixed length** CBC MAC is resilient to forgery when authenticating messages of the **same length**, n .

Security of Fixed Length CBC MAC [BKR, 2000]

- **Theorem:** If E_k is a pseudorandom function, then the **fixed length** CBC MAC is resilient to forgery when authenticating messages of the **same length**, n .
- **Proof via reduction:** Assume CBC MAC can be forged efficiently. Transform the forging algorithm into an algorithm distinguishing E_k from a **random function** efficiently.

Security of Fixed Length CBC MAC [BKR, 2000]

- **Theorem:** If E_k is a pseudorandom function, then the **fixed length** CBC MAC is resilient to forgery when authenticating messages of the **same length**, n .
- **Proof via reduction:** Assume CBC MAC can be forged efficiently. Transform the forging algorithm into an algorithm distinguishing E_k from a **random function** efficiently.

Security of Fixed Length CBC MAC [BKR, 2000]

- **Theorem:** If E_k is a pseudorandom function, then the **fixed length** CBC MAC is resilient to forgery when authenticating messages of the **same length**, n .
- **Proof via reduction:** Assume CBC MAC can be forged efficiently. Transform the forging algorithm into an algorithm distinguishing E_k from a **random function** efficiently.

Security of Fixed Length CBC MAC [BKR, 2000]

- **Theorem:** If E_k is a pseudorandom function, then the **fixed length** CBC MAC is resilient to forgery when authenticating messages of the **same length**, n .
- **Proof via reduction:** Assume CBC MAC can be forged efficiently. Transform the forging algorithm into an algorithm distinguishing E_k from a **random function** efficiently.
- **Warning:** Construction is not secure if messages are of **varying lengths**, namely number of blocks varies among messages.

Insecurity of Variable Length CBC MAC

Here is a simple, **chosen plaintext** example of forgery:

- Get $C_1 = CBC - MAC_k(M_1) = E_k(\vec{0} \oplus M_1)$

Insecurity of Variable Length CBC MAC

Here is a simple, **chosen plaintext** example of forgery:

- Get $C_1 = CBC - MAC_k(M_1) = E_k(\vec{0} \oplus M_1)$
- Ask for MAC of C_1 , i.e.,
 $C_2 = CBC - MAC_k(C_1) = E_k(\vec{0} \oplus C_1)$

Insecurity of Variable Length CBC MAC

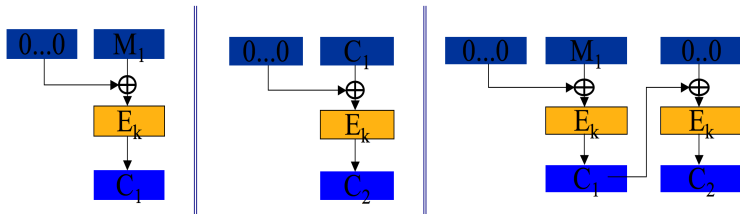
Here is a simple, **chosen plaintext** example of forgery:

- Get $C_1 = CBC - MAC_k(M_1) = E_k(\vec{0} \oplus M_1)$
- Ask for MAC of C_1 , i.e.,
 $C_2 = CBC - MAC_k(C_1) = E_k(\vec{0} \oplus C_1)$
- Observe that $E_k(C_1 \oplus \vec{0}) = E_k(E_k(\vec{0} \oplus M_1) \oplus \vec{0}) =$
 $CBC - MAC_k(M_1 \circ \vec{0})$ (where $\circ$ denotes concatenation)

Insecurity of Variable Length CBC MAC

Here is a simple, **chosen plaintext** example of forgery:

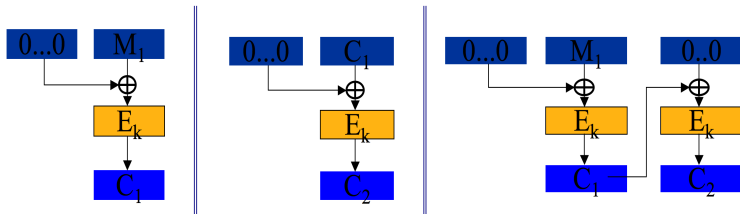
- Get $C_1 = CBC - MAC_k(M_1) = E_k(\vec{0} \oplus M_1)$
- Ask for MAC of C_1 , i.e.,
 $C_2 = CBC - MAC_k(C_1) = E_k(\vec{0} \oplus C_1)$
- Observe that $E_k(C_1 \oplus \vec{0}) = E_k(E_k(\vec{0} \oplus M_1) \oplus \vec{0}) =$
 $CBC - MAC_k(M_1 \circ \vec{0})$ (where $\circ$ denotes concatenation)



Insecurity of Variable Length CBC MAC

Here is a simple, **chosen plaintext** example of forgery:

- Get $C_1 = CBC - MAC_k(M_1) = E_k(\vec{0} \oplus M_1)$
- Ask for MAC of C_1 , i.e.,
 $C_2 = CBC - MAC_k(C_1) = E_k(\vec{0} \oplus C_1)$
- Observe that $E_k(C_1 \oplus \vec{0}) = E_k(E_k(\vec{0} \oplus M_1) \oplus \vec{0}) =$
 $CBC - MAC_k(M_1 \circ \vec{0})$ (where $\circ$ denotes concatenation)



- One can efficiently design, for every n , two messages, one with 1 block, the other with $n + 1$ blocks, that have the same $MAC_k(\cdot)$.

CBC-MAC for Variable Length Messages

- **Solution 1:** The first block of the message is set to be its length. Apply CBC-MAC to $(n, M_1, \dots, M_n)$. Works since now message space is **prefix-free**.
Drawback: The message length, n , must be known in advance.

CBC-MAC for Variable Length Messages

- **Solution 1:** The first block of the message is set to be its length. Apply CBC-MAC to $(n, M_1, \dots, M_n)$. Works since now message space is **prefix-free**.
Drawback: The message length, n , must be known in advance.

CBC-MAC for Variable Length Messages

- **Solution 1:** The first block of the message is set to be its length. Apply CBC-MAC to $(n, M_1, \dots, M_n)$.
Works since now message space is **prefix-free**.
Drawback: The message length, n , must be known in advance.
- **“Solution 2”:** Apply CBC-MAC to $(M_1, \dots, M_n, n)$.
Message length **does not** have to be known in advance.
Looks good, **but** this scheme was broken.

CBC-MAC for Variable Length Messages

- **Solution 1:** The first block of the message is set to be its length. Apply CBC-MAC to $(n, M_1, \dots, M_n)$.
Works since now message space is **prefix-free**.
Drawback: The message length, n , must be known in advance.
- **“Solution 2”:** Apply CBC-MAC to $(M_1, \dots, M_n, n)$.
Message length **does not** have to be known in advance.
Looks good, **but** this scheme was broken.

CBC-MAC for Variable Length Messages

- **Solution 1:** The first block of the message is set to be its length. Apply CBC-MAC to $(n, M_1, \dots, M_n)$.
Works since now message space is **prefix-free**.
Drawback: The message length, n , must be known in advance.
- **“Solution 2”:** Apply CBC-MAC to $(M_1, \dots, M_n, n)$.
Message length **does not** have to be known in advance.
Looks good, **but** this scheme was broken.
- **Solution 3:** Encrypted CBC (ECBC MAC):
Compute $E_{k_2}(CBC - MAC_{k_1}(M_1, \dots, M_n))$,
where E is a block-cipher and k_2 is another secret key.
Essentially the same overhead as CBC-MAC (widely used).

Combining Authentication and Secrecy

It is a good idea to use two different keys: one for authentication and one for encryption. But How?

Combining Authentication and Secrecy

It is a good idea to use two different keys: one for authentication and one for encryption. But How?

Suggestions:

Combining Authentication and Secrecy

It is a good idea to use two different keys: one for authentication and one for encryption. But How?

Suggestions:

- **Encrypt-and-Authenticate:** $E_{k_1}(M), \text{MAC}_{k_2}(M)$ secure?

Combining Authentication and Secrecy

It is a good idea to use two different keys: one for authentication and one for encryption. But How?

Suggestions:

- **Encrypt-and-Authenticate:** $E_{k_1}(M), \text{MAC}_{k_2}(M)$ secure?

Combining Authentication and Secrecy

It is a good idea to use two different keys: one for authentication and one for encryption. But How?

Suggestions:

- **Encrypt-and-Authenticate:** $E_{k_1}(M), \text{MAC}_{k_2}(M)$ secure?
No (some MACs may leak information on M)

Combining Authentication and Secrecy

It is a good idea to use two different keys: one for authentication and one for encryption. But How?

Suggestions:

- **Encrypt-and-Authenticate:** $E_{k_1}(M), \text{MAC}_{k_2}(M)$ secure?
No (some MACs may leak information on M)
- **Authenticate-then-Encrypt:** $E_{k_1}(M, \text{MAC}_{k_2}(M))$ secure?

Combining Authentication and Secrecy

It is a good idea to use two different keys: one for authentication and one for encryption. But How?

Suggestions:

- **Encrypt-and-Authenticate:** $E_{k_1}(M), \text{MAC}_{k_2}(M)$ secure?
No (some MACs may leak information on M)
- **Authenticate-then-Encrypt:** $E_{k_1}(M, \text{MAC}_{k_2}(M))$ secure?

Combining Authentication and Secrecy

It is a good idea to use two different keys: one for authentication and one for encryption. But How?

Suggestions:

- **Encrypt-and-Authenticate:** $E_{k_1}(M), \text{MAC}_{k_2}(M)$ secure?
No (some MACs may leak information on M)
- **Authenticate-then-Encrypt:** $E_{k_1}(M, \text{MAC}_{k_2}(M))$ secure?
Not in general

Combining Authentication and Secrecy

It is a good idea to use two different keys: one for authentication and one for encryption. But How?

Suggestions:

- **Encrypt-and-Authenticate:** $E_{k_1}(M), \text{MAC}_{k_2}(M)$ secure?
No (some MACs may leak information on M)
- **Authenticate-then-Encrypt:** $E_{k_1}(M, \text{MAC}_{k_2}(M))$ secure?
Not in general
- **Encrypt-then-Authenticate:** $E_{k_1}(M), \text{MAC}_{k_2}(E_{k_1}(M))$ secure?

Combining Authentication and Secrecy

It is a good idea to use two different keys: one for authentication and one for encryption. But How?

Suggestions:

- **Encrypt-and-Authenticate:** $E_{k_1}(M), \text{MAC}_{k_2}(M)$ secure?
No (some MACs may leak information on M)
- **Authenticate-then-Encrypt:** $E_{k_1}(M, \text{MAC}_{k_2}(M))$ secure?
Not in general
- **Encrypt-then-Authenticate:** $E_{k_1}(M), \text{MAC}_{k_2}(E_{k_1}(M))$ secure?

Combining Authentication and Secrecy

It is a good idea to use two different keys: one for authentication and one for encryption. But How?

Suggestions:

- **Encrypt-and-Authenticate:** $E_{k_1}(M), \text{MAC}_{k_2}(M)$ secure?
No (some MACs may leak information on M)
- **Authenticate-then-Encrypt:** $E_{k_1}(M, \text{MAC}_{k_2}(M))$ secure?
Not in general
- **Encrypt-then-Authenticate:** $E_{k_1}(M), \text{MAC}_{k_2}(E_{k_1}(M))$ secure?
Yes

Authenticated Encryption

Authentication is important even if one is interested only in [secrecy](#) !

Authenticated Encryption

Authentication is important even if one is interested only in **secrecy** !

Alice wants to send an n -bit message M to Bob over a noisy channel. They share a secret-key of a CPA secure encryption E_k .

- Alice sends a bit-by-bit encryption $E_k(M_1), \dots, E_k(M_n)$ together with an encryption of the parity-check $E_k(M_1 \oplus \dots \oplus M_n)$ so that Bob can detect errors.

Authenticated Encryption

Authentication is important even if one is interested only in **secrecy** !

Alice wants to send an n -bit message M to Bob over a noisy channel. They share a secret-key of a CPA secure encryption E_k .

- Alice sends a bit-by-bit encryption $E_k(M_1), \dots, E_k(M_n)$ together with an encryption of the parity-check $E_k(M_1 \oplus \dots \oplus M_n)$ so that Bob can detect errors.
- Bob decrypts. If the parity check does not match, he sends an error message.

Authenticated Encryption

Authentication is important even if one is interested only in **secrecy** !

Alice wants to send an n -bit message M to Bob over a noisy channel. They share a secret-key of a CPA secure encryption E_k .

- Alice sends a bit-by-bit encryption $E_k(M_1), \dots, E_k(M_n)$ together with an encryption of the parity-check $E_k(M_1 \oplus \dots \oplus M_n)$ so that Bob can detect errors.
- Bob decrypts. If the parity check does not match, he sends an error message.

Authenticated Encryption

Authentication is important even if one is interested only in **secrecy** !

Alice wants to send an n -bit message M to Bob over a noisy channel. They share a secret-key of a CPA secure encryption E_k .

- Alice sends a bit-by-bit encryption $E_k(M_1), \dots, E_k(M_n)$ together with an encryption of the parity-check $E_k(M_1 \oplus \dots \oplus M_n)$ so that Bob can detect errors.
- Bob decrypts. If the parity check does not match, he sends an error message.

How can an **active** adversary recover the message M ?

Authenticated Encryption

Authentication is important even if one is interested only in **secrecy** !

Alice wants to send an n -bit message M to Bob over a noisy channel. They share a secret-key of a CPA secure encryption E_k .

- Alice sends a bit-by-bit encryption $E_k(M_1), \dots, E_k(M_n)$ together with an encryption of the parity-check $E_k(M_1 \oplus \dots \oplus M_n)$ so that Bob can detect errors.
- Bob decrypts. If the parity check does not match, he sends an error message.

How can an **active** adversary recover the message M ?

CPA security is not always enough!

(Some real world attacks follow a similar scenario)

Reminder: Security under Chosen Plaintext Attack (CPA)

Challenger

$$k \xleftarrow{R} \{0,1\}^n$$

$$b \xleftarrow{R} \{0,1\}$$

$$\leftarrow x_1$$

$$E_k(x_1) \rightarrow$$

$$\leftarrow x_2$$

$$E_k(x_2) \rightarrow$$

...

$$\leftarrow (m_0, m_1)$$

$$c^* = E_k(m_b) \rightarrow$$

Adversary $\mathcal{A}$

Output b'

Reminder: Security under Chosen Plaintext Attack (CPA)

Challenger

$$k \xleftarrow{R} \{0,1\}^n$$

$$b \xleftarrow{R} \{0,1\}$$

$$\leftarrow x_1$$

$$E_k(x_1) \rightarrow$$

$$\leftarrow x_2$$

$$E_k(x_2) \rightarrow$$

...

$$\leftarrow (m_0, m_1)$$

$$c^* = E_k(m_b) \rightarrow$$

Adversary $\mathcal{A}$

Output b'

Security: For every PPT adversary $\Pr[b = b'] \leq \frac{1}{2} + \text{neg}(n)$

Security under Chosen Ciphertext Attack (CCA)

Challenger

$$k \xleftarrow{R} \{0,1\}^n$$

$$b \xleftarrow{R} \{0,1\}$$

$$\leftarrow x_1, y_1$$

$$E_k(x_1), D_k(y_1) \rightarrow$$

$$\leftarrow x_2, y_2$$

...

$$\leftarrow (m_0, m_1)$$

$$c^* = E_k(m_b) \rightarrow$$

Adversary $\mathcal{A}$

Output b'

Security under Chosen Ciphertext Attack (CCA)

Challenger

$$k \xleftarrow{R} \{0,1\}^n$$

$$b \xleftarrow{R} \{0,1\}$$

$$\leftarrow x_1, y_1$$

$$E_k(x_1), D_k(y_1) \rightarrow$$

$$\leftarrow x_2, y_2$$

...

$$\leftarrow (m_0, m_1)$$

$$c^* = E_k(m_b) \rightarrow$$

Adversary $\mathcal{A}$

Output b'

Security: For every PPT adversary $\Pr[b = b'] \leq \frac{1}{2} + \text{neg}(n)$

Security under Chosen Ciphertext Attack (CCA)

Challenger

$$k \xleftarrow{R} \{0,1\}^n$$

$$b \xleftarrow{R} \{0,1\}$$

$$\leftarrow x_1, y_1$$

$$E_k(x_1), D_k(y_1) \rightarrow$$

$$\leftarrow x_2, y_2$$

...

$$\leftarrow (m_0, m_1)$$

$$c^* = E_k(m_b) \rightarrow$$

Adversary $\mathcal{A}$

Output b'

Security: For every PPT adversary $\Pr[b = b'] \leq \frac{1}{2} + \text{neg}(n)$

- Decryption queries can be also asked **after** the challenge as long as $y \neq c^*$.

CPA+MAC = CCA

Given CPA-secure encryption (E, D) and a MAC MAC_k define (E', D') as follows:

CPA+MAC = CCA

Given CPA-secure encryption (E, D) and a MAC MAC_k define (E', D') as follows:

Construction of CCA Encryption

- $E'_{k_1, k_2}(M) = (C, T)$ where $C = E_{k_1}(M), T = \text{MAC}_{k_2}(C)$.

CPA+MAC = CCA

Given CPA-secure encryption (E, D) and a MAC MAC_k define (E', D') as follows:

Construction of CCA Encryption

- $E'_{k_1, k_2}(M) = (C, T)$ where $C = E_{k_1}(M)$, $T = \text{MAC}_{k_2}(C)$.
- $D'_{k_1, k_2}(C, T)$ if $T = \text{MAC}_{k_2}(C)$ return $D_{k_1}(C)$, otherwise $\perp$.

CPA+MAC = CCA

Given CPA-secure encryption (E, D) and a MAC MAC_k define (E', D') as follows:

Construction of CCA Encryption

- $E'_{k_1, k_2}(M) = (C, T)$ where $C = E_{k_1}(M)$, $T = \text{MAC}_{k_2}(C)$.
- $D'_{k_1, k_2}(C, T)$ if $T = \text{MAC}_{k_2}(C)$ return $D_{k_1}(C)$, otherwise $\perp$.

CPA+MAC = CCA

Given CPA-secure encryption (E, D) and a MAC MAC_k define (E', D') as follows:

Construction of CCA Encryption

- $E'_{k_1, k_2}(M) = (C, T)$ where $C = E_{k_1}(M)$, $T = \text{MAC}_{k_2}(C)$.
- $D'_{k_1, k_2}(C, T)$ if $T = \text{MAC}_{k_2}(C)$ return $D_{k_1}(C)$, otherwise $\perp$.

Thm. The scheme (E', D') is CCA secure.

CPA+MAC = CCA

Given CPA-secure encryption (E, D) and a MAC MAC_k define (E', D') as follows:

Construction of CCA Encryption

- $E'_{k_1, k_2}(M) = (C, T)$ where $C = E_{k_1}(M)$, $T = \text{MAC}_{k_2}(C)$.
- $D'_{k_1, k_2}(C, T)$ if $T = \text{MAC}_{k_2}(C)$ return $D_{k_1}(C)$, otherwise $\perp$.

Thm. The scheme (E', D') is CCA secure.

- **Proof idea:** Assume a Chosen Ciphertext Attacker.

CPA+MAC = CCA

Given CPA-secure encryption (E, D) and a MAC MAC_k define (E', D') as follows:

Construction of CCA Encryption

- $E'_{k_1, k_2}(M) = (C, T)$ where $C = E_{k_1}(M)$, $T = \text{MAC}_{k_2}(C)$.
- $D'_{k_1, k_2}(C, T)$ if $T = \text{MAC}_{k_2}(C)$ return $D_{k_1}(C)$, otherwise $\perp$.

Thm. The scheme (E', D') is CCA secure.

- **Proof idea:** Assume a Chosen Ciphertext Attacker.
- Decryption query y_i is **useful** if it does not equal to an outcome of a previous encryption query.

CPA+MAC = CCA

Given CPA-secure encryption (E, D) and a MAC MAC_k define (E', D') as follows:

Construction of CCA Encryption

- $E'_{k_1, k_2}(M) = (C, T)$ where $C = E_{k_1}(M)$, $T = \text{MAC}_{k_2}(C)$.
- $D'_{k_1, k_2}(C, T)$ if $T = \text{MAC}_{k_2}(C)$ return $D_{k_1}(C)$, otherwise $\perp$.

Thm. The scheme (E', D') is CCA secure.

- **Proof idea:** Assume a Chosen Ciphertext Attacker.
- Decryption query y_i is **useful** if it does not equal to an outcome of a previous encryption query.
- **Useful** queries are (almost always) answered with $\perp$, otherwise the MAC is broken

CPA+MAC = CCA

Given CPA-secure encryption (E, D) and a MAC MAC_k define (E', D') as follows:

Construction of CCA Encryption

- $E'_{k_1, k_2}(M) = (C, T)$ where $C = E_{k_1}(M)$, $T = \text{MAC}_{k_2}(C)$.
- $D'_{k_1, k_2}(C, T)$ if $T = \text{MAC}_{k_2}(C)$ return $D_{k_1}(C)$, otherwise $\perp$.

Thm. The scheme (E', D') is CCA secure.

- **Proof idea:** Assume a Chosen Ciphertext Attacker.
- Decryption query y_i is **useful** if it does not equal to an outcome of a previous encryption query.
- **Useful** queries are (almost always) answered with $\perp$, otherwise the MAC is broken
- With no useful queries, the decryption oracle isn't really being used

CPA+MAC = CCA

Given CPA-secure encryption (E, D) and a MAC MAC_k define (E', D') as follows:

Construction of CCA Encryption

- $E'_{k_1, k_2}(M) = (C, T)$ where $C = E_{k_1}(M)$, $T = \text{MAC}_{k_2}(C)$.
- $D'_{k_1, k_2}(C, T)$ if $T = \text{MAC}_{k_2}(C)$ return $D_{k_1}(C)$, otherwise $\perp$.

Thm. The scheme (E', D') is CCA secure.

- **Proof idea:** Assume a Chosen Ciphertext Attacker.
- Decryption query y_i is **useful** if it does not equal to an outcome of a previous encryption query.
- **Useful** queries are (almost always) answered with $\perp$, otherwise the MAC is broken
- With no useful queries, the decryption oracle isn't really being used
- We can break E via CPA.

Summary

- Different levels of security for encryption.

Summary

- Different levels of security for encryption.
- Authentication is orthogonal to secrecy – combination is tricky.

Summary

- Different levels of security for encryption.
- Authentication is orthogonal to secrecy – combination is tricky.
- MACs and Encryption schemes can be based on PRFs/PRPs via highly efficient (practical) transformations.

Summary

- Different levels of security for encryption.
- Authentication is orthogonal to secrecy – combination is tricky.
- MACs and Encryption schemes can be based on PRFs/PRPs via highly efficient (practical) transformations.
- Good design methodology: Reduce a **complicated** task to a **simpler** task. Solve the simple task and extend the solution. (E.g., design encryption for a single-block messages and then show how to extend it to longer messages).